




# Renormalization of Bicritical Circle Maps

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## Abstract

A general *ansatz* in Renormalization Theory, already established in many important situations, states that exponential convergence of renormalization orbits implies that topological conjugacies are actually smooth (when restricted to the attractors of the original systems). In this paper, we establish this principle for a large class of *bicritical circle maps*, which are  $C^3$  circle homeomorphisms with irrational rotation number and exactly two (non-flat) critical points. The proof presented here is an adaptation, to the bicritical setting, of the one given by de Faria and de Melo in (J Eur Math Soc 1:339–392, 1999) for the case of a single critical point. When combined with the recent papers (Estevez et al. in Complex bounds for multicritical circle maps with bounded type rotation number, [arXiv:2005.02377](https://arxiv.org/abs/2005.02377), 2020; Yampolsky in C R Math Rep Acad Sci Can 41:57–83, 2019), our main theorem implies  $C^{1+\alpha}$  rigidity for real-analytic bicritical circle maps with rotation number of *bounded type* (Corollary 1.1).

**Keywords** Renormalization · Rigidity · Multicritical circle maps

**Mathematics Subject Classification** Primary 37E10; Secondary 37E20

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## 1 Introduction

In the present paper, we study the dynamics of *multicritical circle maps*, which are  $C^3$  circle homeomorphisms having finitely many critical points (all of which are non-flat, see Definition 2.2). By a fundamental result due to Yoccoz [26], two multicritical circle maps  $f$  and  $g$  with the same irrational rotation number are topologically conjugate to the corresponding rigid rotation, and in particular to each other. To obtain a smooth conjugacy between  $f$  and  $g$ , we need to assume the existence of a topological conjugacy  $h$  which identifies its critical sets, while preserving corresponding criticalities (this is a *finite codimension* condition; see Definition 1.1).

In this paper, we restrict our attention to the bicritical case, and we prove that, for Lebesgue almost every rotation number, such conjugacy  $h$  is a  $C^{1+\alpha}$  diffeomorphism, provided that the successive renormalizations of  $f$  and  $g$  (around critical points identified under  $h$ ) converge together exponentially fast in the  $C^1$  topology (Theorem A). The full Lebesgue measure set of rotation numbers considered here was introduced by de Faria and de Melo in the nineties [7], and contains all numbers of bounded type (see Definition 2.3). As already mentioned in the abstract, the proof presented here is an adaptation, to the bicritical setting, of the one given in [7] for the case of a single critical point.

As an application, we combine Theorem A with the recent papers [12, 25] to obtain  $C^{1+\alpha}$  rigidity for real-analytic bicritical circle maps with bounded combinatorics (Corollary 1.1).

### 1.1 Main Result

Let  $f$  be a  $C^3$  multicritical circle map with irrational rotation number  $\rho \in (0, 1)$  and  $N \geq 1$  critical points  $c_i$ , for  $0 \leq i \leq N - 1$  (which are labeled as ordered in the unit circle). All critical points are assumed to be *non-flat*: in  $C^3$  local coordinates around  $c_i$ , the map  $f$  can be written as  $t \mapsto t|t|^{d_i-1}$  for some  $d_i > 1$  (we say that  $d_i$  is the *criticality* of  $f$  around  $c_i$ ; see Definition 2.1 below). Being topologically conjugate to an irrational rotation,  $f$  is uniquely ergodic; we denote its unique invariant Borel probability measure by  $\mu_f$ .

**Definition 1.1** We define the *signature* of  $f$  to be the  $(2N + 2)$ -tuple

$$(\rho; N; d_0, d_1, \dots, d_{N-1}; \delta_0, \delta_1, \dots, \delta_{N-1}),$$

where  $d_i$  is the criticality of the critical point  $c_i$ , and  $\delta_i = \mu_f[c_i, c_{i+1})$  (with the convention that  $c_N = c_0$ ).

Now, consider two  $C^3$  multicritical circle maps, say  $f$  and  $g$ , with the same irrational rotation number. By Yoccoz theorem [26], they are topologically conjugate to each other. By elementary reasons, if  $f$  and  $g$  have the same signature, there exists a circle homeomorphism  $h$ , which is a topological conjugacy between  $f$  and  $g$ , identifying each critical point of  $f$  with one of  $g$  having the same criticality (note that such  $h$  is the unique conjugacy between  $f$  and  $g$  that can be smooth. As it turns out, for almost

every rotation number, most conjugacies between  $f$  and  $g$  fail to be a *quasisymmetric* homeomorphism; see the recent paper [4] for precise statements). This will be our standing assumption in this article. In particular, a critical point of  $f$  and one of  $g$  are said to be *corresponding* critical points, if they are identified under such conjugacy  $h$ . Our main result is the following.

**Theorem A** *There exists a full Lebesgue measure set  $\mathcal{A} \subset (0, 1)$  of irrational numbers with the following property. Let  $f$  and  $g$  be  $C^3$  bicritical circle maps with the same signature, such that its common rotation number belongs to the set  $\mathcal{A}$ . If the renormalizations of  $f$  and  $g$  around corresponding critical points converge together exponentially fast in the  $C^1$  topology, then  $f$  and  $g$  are conjugate to each other by a  $C^{1+\alpha}$  diffeomorphism, for some  $\alpha > 0$ .*

As mentioned in the abstract, the idea that exponential convergence of renormalization implies smoothness of topological conjugacies, when restricted to the attractors of the original systems, is a cornerstone in Renormalization Theory. As a fundamental example, see [9, Section VI.9] for the case of unimodal maps with bounded combinatorics (more specifically, see Theorem 9.4). In the case of critical circle maps with a single critical point, this principle has been established by de Faria and de Melo in [7, First Main Theorem] for rotation numbers in the set  $\mathcal{A}$ , and extended by Khanin and Teplinsky in [17] to cover all irrational rotation numbers (see Theorem 2 in page 198 for the specific statement). Both proofs are given for the case of a single critical point, and our goal in the present paper is to adapt the previous arguments to the case of two critical points.

We would like to remark that Theorem A is most likely true for circle maps with *any* number of critical points, see Remark 5.8 at the end of the present paper. On the other hand, it is definitely not possible to extend its statement to cover *all* irrational rotation numbers: in [1], Avila was able to construct topologically conjugate real-analytic critical circle maps (with a single critical point) which are *not*  $C^{1+\alpha}$  conjugate to each other, for any  $\alpha > 0$ , although the corresponding renormalization orbits converge together exponentially fast (in the  $C^r$  metric, for any  $r \geq 1$ ). We remark that an analogue statement, in the  $C^\infty$  class, was previously obtained in [7, Section 5]. However,  $C^1$  rigidity may hold for multicritical circle maps with the same signature, just as in the case of a single critical point. Indeed, any two  $C^3$  circle homeomorphisms with the same irrational rotation number of bounded type and with a single critical point (of the same odd integer criticality) are conjugate to each other by a  $C^{1+\alpha}$  circle diffeomorphism, for some universal  $\alpha > 0$  (see [14]). Moreover, any two  $C^4$  circle homeomorphisms with the same irrational rotation number and with a unique critical point (again, of the same odd criticality) are conjugate to each other by a  $C^1$  diffeomorphism (see [15]). This conjugacy is in fact a  $C^{1+\alpha}$  diffeomorphism, provided that the common rotation number belongs to the full Lebesgue measure set  $\mathcal{A}$  (again, see [15]).

## 1.2 Rigidity of Real-Analytic Bicritical Circle Maps with Bounded Combinatorics

Let  $f$  and  $g$  be real-analytic bicritical circle maps with both critical points of cubic type and with the same signature (recall, from Definition 1.1, that this amounts to say that  $f$  and  $g$  have the same irrational rotation number, while the relative positions of its two critical points, viewed with the corresponding unique invariant measure, coincide). If the common rotation number of  $f$  and  $g$  is of *bounded type*, it follows from the recent papers [12, 25] that the successive renormalizations of  $f$  and  $g$ , around corresponding critical points, converge together exponentially fast in the  $C^r$  topology, for any  $r \in \mathbb{N}$ . Applying Theorem A, we obtain the following result, announced in the abstract and in the introduction.

**Corollary 1.1** *Let  $f$  and  $g$  be real-analytic bicritical circle maps with the same signature, and with both critical points of cubic type. If their common rotation number is of bounded type, then  $f$  and  $g$  are conjugate to each other by a  $C^{1+\alpha}$  diffeomorphism for some  $\alpha > 0$ .*

## 1.3 Strategy of the Proof of Theorem A

Let  $f$  and  $g$  be two  $C^3$  bicritical circle maps with the same irrational rotation number. As explained in the introduction, a result of Yoccoz [26] implies that  $f$  and  $g$  are topologically conjugate to each other. Moreover, assuming that  $f$  and  $g$  have the same signature is equivalent to assume that there exists a circle homeomorphism  $h$  which is a topological conjugacy between  $f$  and  $g$ , identifying each critical point of  $f$  with one of  $g$  having the same criticality.

Our main goal in this paper is to prove that such homeomorphism  $h$  is actually a smooth diffeomorphism. Since one-dimensional affine maps are characterized by the fact that they preserve ratios between lengths of intervals, we would like to show that  $h$  almost preserves such ratios, provided that we consider very small intervals, which are very close to each other. To achieve this, we will first construct (say, for the given  $f$ ) a suitable sequence of partitions (called *fine grid*, see Definition 4.1) whose vertices will be dynamically extracted from the critical set of  $f$ . Essentially, this is a combinatorial construction, to be performed in Sect. 4.

After fine grids are built, it will be enough to control ratios of lengths of corresponding elements of those fine grids, to assure that  $h$  is indeed a  $C^{1+\alpha}$  diffeomorphism (see Proposition 4.1). This criterion was used by de Faria and de Melo in [7] to obtain smoothness (see [7, Section 4.2]), and it is the one that will be used here too. Let us point out that the fine grids constructed in [7] are not suitable for the case of more than one critical point. As already mentioned, in Sect. 4, we will construct fine grids adapted to the bicritical case, which is the main difference between the proof given here and the one given by de Faria and de Melo for the case of a single critical point.

Our main task in this paper, therefore, is to prove that, for Lebesgue almost every rotation number,  $C^1$  exponential contraction of renormalization (which is the main assumption of Theorem A) implies the local behaviour for  $h$  required by Proposition 4.1. This is accomplished in Sect. 5.

We finish this introduction by pointing out that to prove exponential contraction for the renormalization operator of multicritical circle maps is a challenging problem. In the case of a single critical point and real-analytic dynamics, exponential contraction was obtained in [8] for rotation numbers of bounded type, and extended in [19] to cover all irrational rotation numbers. Both papers lean on complex dynamics techniques, and therefore, an additional hypothesis is required: the criticality at both critical points has to be an odd integer (note that this condition is also needed for the rigidity results discussed after the statement of Theorem A). These results have been recently extended in at least two directions: in [13], exponential contraction is obtained allowing non-integer criticalities which are close enough to an odd integer, while in [14] and [15], exponential contraction is established for finitely smooth critical circle maps (still with odd integer criticalities). Finally, in the case of two critical points, it was recently proved in [25] both existence of periodic orbits and hyperbolicity of those periodic orbits, for real-analytic bicritical circle maps (with both critical points of cubic type). These results were later extended to bounded combinatorics in [12], from where we deduce Corollary 1.1 (as already explained in Sect. 1.2 above). For much more on the dynamics of multicritical circle maps, we refer the reader to the recent survey [6].

## Brief Summary

In Sect. 2, we present the basic facts about multicritical circle maps and renormalization of commuting pairs. In Sect. 3, we state Theorem B, and we explain why it implies Theorem A. The two remaining sections are devoted to the proof of Theorem B: in Sect. 4, we state the announced criterion for smoothness (Proposition 4.1) and we construct a fine grid for any given bicritical circle map, while in Sect. 5, we prove Theorem B by establishing the assumptions of Proposition 4.1.

## 2 Preliminaries

### 2.1 Bicritical Circle Maps

Let us now define the maps which are the main object of study in the present paper. We start with the notion of *non-flat critical point*.

**Definition 2.1** We say that a critical point  $c$  of a one-dimensional  $C^3$  map  $f$  is *non-flat* of criticality  $d > 1$  if there exists a neighbourhood  $W$  of the critical point, such that  $f(x) = f(c) + \phi(x) |\phi(x)|^{d-1}$  for all  $x \in W$ , where  $\phi : W \rightarrow \phi(W)$  is an orientation-preserving  $C^3$  diffeomorphism satisfying  $\phi(c) = 0$ .

**Definition 2.2** A *multicritical circle map* is an orientation-preserving  $C^3$  circle homeomorphism  $f$  having  $N \geq 1$  critical points, all of which are non-flat in the sense of Definition 2.1. If  $N = 2$ , we say that  $f$  is a *bicritical circle map*.

As an example, let  $a \in [0, 1)$ ,  $N \in \mathbb{N}$  and consider  $\tilde{f}_a : \mathbb{R} \rightarrow \mathbb{R}$  given by

$$\tilde{f}_a(x) = x + a - \frac{1}{2N\pi} \sin(2N\pi x).$$

Since each  $\tilde{f}_a$  has degree one and commutes with unitary translation, it is the lift of an orientation preserving real-analytic circle homeomorphism, under the canonical universal cover  $x \mapsto e^{2\pi i x}$ . Each circle map in this family has exactly  $N$  critical points, given by  $\{e^{\frac{j}{N}2\pi i} : j \in \{0, 1, \dots, N - 1\}\}$ , all of them with criticality equal to 3. Since they lift to entire maps, these real-analytic multicritical circle maps extend holomorphically to the punctured plane  $\mathbb{C} \setminus \{0\}$ . It is also possible to construct multicritical circle maps whose holomorphic extensions are well defined in the whole Riemann sphere  $\widehat{\mathbb{C}}$ . Indeed, consider the one-parameter family  $f_\omega : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  of Blaschke products given by

$$f_\omega(z) = e^{2\pi i \omega} z^2 \left( \frac{z - 3}{1 - 3z} \right) \quad \text{for } \omega \in [0, 1).$$

Every map in this family leaves invariant the unit circle, and restricts to a real-analytic critical circle map with a single critical point at 1, which is of cubic type, and with critical value  $e^{2\pi i \omega}$ . Moreover, by monotonicity of the rotation number, for each  $\rho \in (0, 1) \setminus \mathbb{Q}$ , there exists a unique  $\omega$  in  $[0, 1)$ , such that the rotation number of  $f_\omega|_{S^1}$  equals  $\rho$  (see [3, Section 6] for more details). Now, let  $p, q \in \mathbb{C}$  with  $|p| > 1, |q| > 1$ , let  $\omega \in [0, 1)$  and consider  $g_{p,q,\omega} : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  given by

$$g_{p,q,\omega}(z) = e^{2\pi i \omega} z^3 \left( \frac{z - p}{1 - \bar{p}z} \right) \left( \frac{z - q}{1 - \bar{q}z} \right). \tag{2.1}$$

Just as before, every map in this family leaves invariant the unit circle. The following fact was proved by Zakeri in [27, Section 7].

**Theorem 2.1** *For any given  $\rho \in (0, 1) \setminus \mathbb{Q}$  and  $\delta \in (0, 1)$ , there exists a unique  $g_{p,q,\omega}$  of the form (2.1), such that  $g_{p,q,\omega}|_{S^1}$  is a bicritical circle map with signature  $(\rho ; 2; 3; 3; \delta, 1 - \delta)$ .*

### 2.2 Real Bounds

Being a homeomorphism, a multicritical circle map  $f$  has a well-defined rotation number. We will focus on the case where  $f$  has no periodic orbits, which is equivalent to say that it has irrational rotation number  $\rho \in [0, 1]$ . By the already mentioned result of Yoccoz [26],  $f$  has no wandering intervals, and in particular, it is topologically conjugate with the corresponding rigid rotation.

We consider the continued fraction expansion of  $\rho$

$$\rho = [a_0, a_1, \dots] = \cfrac{1}{a_0 + \cfrac{1}{a_1 + \cfrac{1}{\ddots}}}$$

Truncating the expansion at level  $n - 1$ , we obtain the so-called *convergents* of  $\rho$

$$\frac{p_n}{q_n} = [a_0, a_1, \dots, a_{n-1}] = \frac{1}{a_0 + \frac{1}{a_1 + \frac{1}{\ddots \frac{1}{a_{n-1}}}}}$$

The sequence of denominators  $\{q_n\}_{n \in \mathbb{N}}$  satisfies the following recursive formula (see for instance [18, Chapter I, Theorem 1, page 4]):

$$q_0 = 1, \quad q_1 = a_0, \quad q_{n+1} = a_n q_n + q_{n-1} \quad \text{for all } n \geq 1.$$

As mentioned in the introduction, the set  $\mathcal{A} \subset (0, 1)$  considered in the statement of Theorem A was introduced by de Faria and de Melo in the nineties [7, Section 4.4]. Its precise definition is the following.

**Definition 2.3** Let  $\mathcal{A} \subset (0, 1)$  be the set of rotation numbers  $\rho = [a_0, a_1, \dots]$  satisfying

- (1)  $\limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{j=0}^{j=n} \log a_j < \infty,$
- (2)  $\lim_{n \rightarrow \infty} \frac{1}{n} \log a_n = 0,$
- (3)  $\frac{1}{n} \sum_{j=k+1}^{k+n} \log a_j \leq \omega\left(\frac{n}{k}\right),$

for all  $0 < n \leq k$ , where  $\omega$  is a monotone function (that depends on the rotation number), such that  $\omega(t) > 0$  for all  $t > 0$ , and such that  $t \omega(t) \rightarrow 0$  as  $t \rightarrow 0$ .

The set  $\mathcal{A}$  has full Lebesgue measure in  $(0, 1)$ ; see [7, Appendix C] for a proof. Obviously, all bounded type numbers satisfy the three conditions above (recall that  $\rho$  is of *bounded type* if  $\sup_{n \in \mathbb{N}} \{a_n\}$  is finite). We would like to remark that all constructions to be performed in Sect. 4 can be done for any irrational rotation number: conditions (1)-(3) in Definition 2.3 will only be considered in Sect. 5.

Let  $f$  a multicritical circle map,  $x \in S^1$  and  $n \in \mathbb{N}$ . We denote by  $I_n(x)$  the interval with endpoints  $x$  and  $f^{q_n}(x)$ , which contains the point  $f^{q_{n+2}}(x)$ . The collection of intervals

$$\mathcal{P}_n(x) = \left\{ f^i(I_n(x)) : 0 \leq i \leq q_{n+1} - 1 \right\} \cup \left\{ f^j(I_{n+1}(x)) : 0 \leq j \leq q_n - 1 \right\}$$

is a partition of the circle (modulo endpoints) called the *standard  $n$ -th dynamical partition* associated with the point  $x$ . The following fundamental geometric control was obtained by Herman [16] and Świątek [20] in the eighties.

**Theorem 2.2** (The real bounds) *Given  $N \in \mathbb{N}$  and  $d > 1$  let  $\mathcal{F}_{N,d}$  be the family of multicritical circle maps with at most  $N$  critical points whose maximum criticality is bounded by  $d$ . There exists a constant  $C = C(N, d) > 1$  with the following property:*

for any given  $f \in \mathcal{F}_{N,d}$  and  $c \in \text{Crit}(f)$ , there exists  $n_0 \in \mathbb{N}$ , such that for all  $n \geq n_0$  and every pair of adjacent intervals  $I, J \in \mathcal{P}_n(c)$ , we have

$$\frac{1}{C} \leq \frac{|I|}{|J|} \leq C.$$

A detailed proof of Theorem 2.2 can also be found in [10, 11]. Given two positive numbers  $\alpha$  and  $\beta$ , we say that  $\alpha$  is *comparable* to  $\beta$  if there exists a constant  $K > 1$  depending only on  $C$  (from Theorem 2.2) such that  $K^{-1}\beta \leq \alpha \leq K\beta$ . This relation will be denoted  $\alpha \asymp \beta$ . We finish Sect. 2.2 with the following four consequences of the real bounds, that will be useful later.

**Remark 2.3** Let  $I \in \mathcal{P}_n(c)$  and let  $J$  be an interval, such that  $I \subseteq J \subseteq I^*$ , where  $I^*$  denotes the union of  $I$  with its left and right neighbours in  $\mathcal{P}_n(c)$ . Then,  $|I| \asymp |J|$ .

**Corollary 2.4** Let  $f$  be a multicritical circle map and  $c \in \text{Crit}(f)$ . There exists a constant  $0 < \mu < 1$ , such that for all  $n \geq n_0$ , the following holds: if  $\mathcal{P}_{n+1}(c) \ni I \subsetneq J \in \mathcal{P}_n(c)$ , then  $|I| \leq \mu|J|$ .

**Corollary 2.5** ( $C^1$ -bounds). Let  $f$  be a multicritical circle map and  $c \in \text{Crit}(f)$ . There exists a constant  $K = K(f) > 1$ , such that for all  $n > n_0$  and  $x \in J_n(c)$ , we have

- $Df^{q_{n+1}}(x) \leq K$ , if  $x \in I_n(c)$ .
- $Df^{q_n}(x) \leq K$ , if  $x \in I_{n+1}(c)$ .

We say that two adjacent intervals  $I$  and  $J$  are *symmetric* if their extreme points are  $f^{-q_n}(x), x, f^{q_n}(x)$  for some  $x \in S^1$  and  $n \in \mathbb{N}$ .

**Corollary 2.6** Any two adjacent symmetric intervals are comparable to each other.

Both Remark 2.3 and Corollary 2.4 follow straightforward from the real bounds. For a proof of Corollary 2.5, see [11, Lemma 3.1], and for a proof of Corollary 2.6, see [10, Lemma 3.3].

### 2.3 Multicritical Commuting Pairs

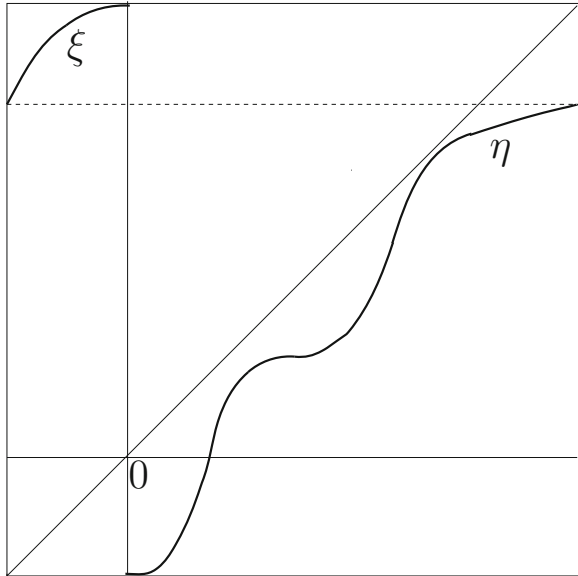
In this section, we introduce the notion of *multicritical commuting pairs*, a natural generalization of the already classical notion of critical commuting pairs.

**Definition 2.4** A  $C^r$  multicritical commuting pair ( $r \geq 3$ ) with  $N = N_1 + N_2 - 1$  critical points is a pair  $\zeta = (\eta, \xi)$  consisting of two  $C^r$  orientation-preserving homeomorphisms  $\xi : I_\xi \rightarrow \xi(I_\xi)$  and  $\eta : I_\eta \rightarrow \eta(I_\eta)$  with a finite number of non-flat critical points  $\gamma_0, \dots, \gamma_{N_1-1} = 0$  and  $\beta_0 = 0, \beta_1, \dots, \beta_{N_2-1}$ , respectively, satisfying

- (1)  $I_\xi = [\eta(0), 0]$  and  $I_\eta = [0, \xi(0)]$  are compact intervals in the real line;
- (2)  $(\eta \circ \xi)(0) = (\xi \circ \eta)(0) \neq 0$ ;
- (3)  $D\xi(x) > 0$  for all  $\gamma_i < x < \gamma_{i+1}, i \in \{0, 1, \dots, N_1 - 1\}$  and  $D\eta(x) > 0$  for all  $\beta_j < x < \beta_{j+1} - 1, j \in \{0, 1, \dots, N_2 - 1\}$ ;
- (4) The origin has the same criticality for  $\eta$  than for  $\xi$ ;



**Fig. 1** A bicritical commuting pair  $\zeta = (\eta, \xi)$



(5) For each  $1 \leq k \leq r$ , we have that  $D_-^k(\xi \circ \eta)(0) = D_+^k(\eta \circ \xi)(0)$ , where  $D_-^k$  and  $D_+^k$  represent the  $k$ th left and right derivative, respectively (Fig. 1).

Let  $\zeta_1 = (\eta_1, \xi_1)$  and  $\zeta_2 = (\eta_2, \xi_2)$  be two  $C^r$  multicritical commuting pairs, and let  $\tau_1 : [\eta_1(0), \xi_1(0)] \rightarrow [-1, 1]$  and  $\tau_2 : [\eta_2(0), \xi_2(0)] \rightarrow [-1, 1]$  be the two Möbius transformations given by

$$\tau_i(\eta_i(0)) = -1, \quad \tau_i(0) = 0 \quad \text{and} \quad \tau_i(\xi_i(0)) = 1, \quad \text{for each } i \in \{1, 2\}.$$

**Definition 2.5** For any given  $0 \leq k \leq r$ , we define the  $C^k$  distance between  $\zeta_1$  and  $\zeta_2$  as

$$d_k(\zeta_1, \zeta_2) = \max \left\{ \left| \frac{\eta_1(0)}{\xi_1(0)} - \frac{\eta_2(0)}{\xi_2(0)} \right|, \|\tau_1 \circ \zeta_1 \circ \tau_1^{-1} - \tau_2 \circ \zeta_2 \circ \tau_2^{-1}\|_k \right\},$$

where  $\|\cdot\|_k$  denotes the  $C^k$  norm for maps in the interval  $[-1, 1]$  with a discontinuity at the origin.

Note that  $d_k(\cdot, \cdot)$  is not a distance but a pseudo-distance, since it is invariant under conjugacies with homothetias. To have a distance, we restrict our attention to *normalized* pairs: for any given pair  $\zeta = (\eta, \xi)$ , we denote by  $\tilde{\zeta}$  the pair  $(\tilde{\eta}|_{\tilde{I}_\eta}, \tilde{\xi}|_{\tilde{I}_\xi})$ , where tilde means linear rescaling by the factor  $1/|I_\eta|$ . In other words,  $|\tilde{I}_\eta| = 1$  and  $\tilde{I}_\xi$  has length equal to the ratio between the lengths of  $I_\xi$  and  $I_\eta$ . Equivalently,  $\tilde{\xi}(0) = 1$  and  $\tilde{\eta}(0) = -|I_\xi|/|I_\eta| = \eta(0)/\xi(0)$ .

### 2.4 Renormalization of Multicritical Commuting Pairs

**Definition 2.6** We define the *period* of the pair  $\zeta = (\eta, \xi)$  as the natural number  $a$ , such that

$$\eta^{a+1}(\xi(0)) < 0 \leq \eta^a(\xi(0)),$$

when such number exists, and we denote it by  $\chi(\zeta)$ . If such  $a$  does not exist, we just define  $\chi(\zeta) = \infty$ .

**Definition 2.7** Let  $\zeta = (\eta, \xi)$  be a multicritical commuting pair with  $(\xi \circ \eta)(0) \in I_\eta$  and  $\chi(\zeta) = a < \infty$ . We define the *renormalization* of  $\zeta$  as the normalization of the pair  $(\eta|_{[0, \eta^a(\xi(0))]}, \eta^a \circ \xi|_{I_\xi})$ , that is

$$\mathcal{R}(\zeta) = \left( \widetilde{\eta}|_{[0, \widetilde{\eta^a(\xi(0))}]}, \widetilde{\eta^a \circ \xi}|_{\widetilde{I_\xi}} \right).$$

If  $\zeta$  is a multicritical commuting pair with  $\chi(\mathcal{R}^j \zeta) < \infty$  for  $0 \leq j \leq n - 1$ , we say that  $\zeta$  is *n-times renormalizable*, and if  $\chi(\mathcal{R}^j \zeta) < \infty$  for all  $j \in \mathbb{N}$ , we say that  $\zeta$  is *infinitely renormalizable*. In the last case, we define the *rotation number* of  $\zeta$  as the irrational number whose continued fraction expansion is given by

$$[\chi(\zeta), \chi(\mathcal{R}\zeta), \dots, \chi(\mathcal{R}^n \zeta), \dots].$$

Now, let  $f$  be a  $C^r$  multicritical circle map with irrational rotation number  $\rho$  and  $N$  critical points  $c_0, \dots, c_{N-1}$ . For each critical point  $c_i$ ,  $f$  induces a sequence of multicritical commuting pairs in the following way: let  $\widehat{f}$  be the lift of  $f$  (under the universal covering  $t \mapsto c_i \cdot \exp(2\pi i t)$ ), such that  $0 < \widehat{f}(0) < 1$  (and note that  $D\widehat{f}(0) = 0$ ). For  $n \geq 1$ , let  $\widehat{I}_n(c_i)$  be the closed interval in  $\mathbb{R}$ , containing the origin as one of its extreme points, which is projected onto  $I_n(c_i)$ . We define  $\xi : \widehat{I}_{n+1}(c_i) \rightarrow \mathbb{R}$  and  $\eta : \widehat{I}_n(c_i) \rightarrow \mathbb{R}$  by  $\xi = T^{-p_n} \circ \widehat{f}^{q_n}$  and  $\eta = T^{-p_{n+1}} \circ \widehat{f}^{q_{n+1}}$ , where  $T$  is the unitary translation  $T(x) = x + 1$ . Then, the pair  $(\eta|_{\widehat{I}_n(c_i)}, \xi|_{\widehat{I}_{n+1}(c_i)})$  is an infinitely renormalizable multicritical commuting pair, that we denote by  $(f^{q_{n+1}}|_{I_n(c_i)}, f^{q_n}|_{I_{n+1}(c_i)})$ . Its normalization will be denoted by  $\mathcal{R}_i^n f$ , that is (Fig. 2)

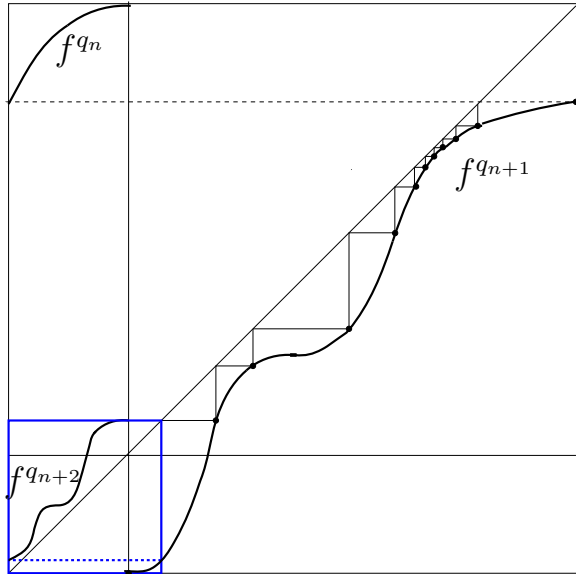
$$\mathcal{R}_i^n f = \left( \widetilde{f^{q_{n+1}}}|_{\widetilde{I}_n(c_i)}, \widetilde{f^{q_n}}|_{\widetilde{I}_{n+1}(c_i)} \right).$$

### 3 A Reduction of Theorem A

In this section, we reduce our main result, namely Theorem A, to Theorem B, which is slightly easier to prove. Right after its statement, we explain why Theorem B implies Theorem A.

**Theorem B** *Let  $f$  and  $g$  be  $C^3$  bicritical circle maps with the same irrational rotation number in the set  $\mathcal{A}$ . Suppose that both  $f$  and  $g$  have the same signature and exactly*

**Fig. 2** The  $n$ th and  $(n + 1)$ th renormalization of  $f$ , before rescaling



the same critical set (in other words, there exists a topological conjugacy  $h$  fixing each critical point). Assume, finally, that there exist  $C > 1$  and  $0 < \mu < 1$ , such that for each  $c_i \in \text{Crit}(f)$ , we have

$$\left| \frac{|I_n^g(c_i)|}{|I_n^f(c_i)|} - 1 \right| \leq C \mu^n \quad \text{and} \quad d_1(\mathcal{R}_i^n f, \mathcal{R}_i^n g) \leq C \mu^n.$$

Then,  $h$  is a  $C^{1+\alpha}$  diffeomorphism for some  $\alpha > 0$ .

Let us briefly explain why Theorem B implies Theorem A. First we note that, as pointed out in [7, Proposition 2.2], the real bounds (Theorem 2.2) imply that exponential convergence of renormalization is preserved under conjugacy with a smooth diffeomorphism. Let us be more precise.

**Lemma 3.1** *Let  $r \geq 1$ ,  $f$  a  $C^r$  multicritical circle map and  $\phi$  a  $C^r$  circle diffeomorphism. There exist  $C = C(f, \phi) > 0$  and  $0 < \mu = \mu(f) < 1$ , such that, for all  $k \leq r - 1$  and all  $n \in \mathbb{N}$ , we have*

$$d_k(\mathcal{R}_i^n f, \mathcal{R}_i^n(\phi \circ f \circ \phi^{-1})) \leq C \mu^n,$$

for any given critical point  $c_i$  of  $f$ , where  $\mathcal{R}_i^n(\phi \circ f \circ \phi^{-1})$  denotes the  $n$ -th renormalization of  $\phi \circ f \circ \phi^{-1}$  around its critical point  $\phi(c_i)$ .

The following result is borrowed from [7, Lemma 4.7].

**Lemma 3.2** *Let  $f$  and  $g$  be two multicritical circle maps with the same critical set, such that there exist  $C > 0$  and  $0 < \mu < 1$  satisfying  $d_0(\mathcal{R}_i^n f, \mathcal{R}_i^n g) \leq C \mu^n$  for all*

$i \in \{0, \dots, N-1\}$  and for all  $n \in \mathbb{N}$ . Then, the ratio  $\left\{ |I_n^g(c_i)| / |I_n^f(c_i)| \right\}$  converges to a limit exponentially fast for all  $i \in \{0, \dots, N-1\}$ . Moreover, for all  $m, k \geq 1$ , we have

$$\left| \frac{|I_m^f(c_i)|}{|I_k^f(c_i)|} - \frac{|I_m^g(c_i)|}{|I_k^g(c_i)|} \right| \leq C \mu^{\min\{m,k\}} \frac{|I_m^f(c_i)|}{|I_k^f(c_i)|}. \quad (3.1)$$

We remark that estimate (3.1) given by Lemma 3.2 will also be useful in Sect. 5, during the proof of Lemma 5.1. With Lemmas 3.1 and 3.2 at hand, it is not difficult to see that Theorem B implies Theorem A. Indeed, let  $f$  and  $g$  be  $C^3$  bicritical circle maps with the same signature, such that its common rotation number belongs to the set  $\mathcal{A}$ . Assume, moreover, that the renormalizations of  $f$  and  $g$  around corresponding critical points converge together exponentially fast in the  $C^1$  topology. By Lemma 3.1, we can conjugate one of the two maps (say  $g$ ) with a suitable  $C^\infty$  diffeomorphism that identifies the critical points of  $g$  with those of  $f$ , while preserving the exponential contraction in the  $C^1$  metric. By Lemma 3.2, we can choose the previous conjugacy in such a way that the limit of the sequence  $\left\{ |I_n^g(c_i)| / |I_n^f(c_i)| \right\}$  is in fact equal to 1, for all  $i \in \{0, \dots, N-1\}$ . By Theorem B,  $f$  and  $g$  are conjugate to each other by a  $C^{1+\alpha}$  diffeomorphism, for some  $\alpha > 0$ . This shows that Theorem B implies Theorem A.

Sections 4 and 5 (the remainder of this paper) are devoted to the proof of Theorem B.

## 4 Fine Grids

Let  $f$  and  $g$  be  $C^3$  bicritical circle maps with the same irrational rotation number in the set  $\mathcal{A}$  (recall Definition 2.3). Suppose that both  $f$  and  $g$  have the same signature and exactly the same critical set, and let  $h$  be the homeomorphism considered in the statement of Theorem B (Sect. 3). As explained in the introduction, we would like to prove that  $h$  “almost preserves” ratios between lengths of intervals, provided that we consider very small intervals, which are very close to each other. To achieve this, we will construct in this section a suitable sequence of nested partitions of the unit circle, such that it will be enough to control the action of  $h$  on the vertices of those partitions. The specific type of partitions that we need in the present paper are given by the following definition, which is borrowed from [7, Section 4.2].

**Definition 4.1** A *fine grid* is a sequence  $\{\mathcal{Q}_n\}_{n \geq 0}$  of finite interval partitions of  $S^1$  satisfying the following three conditions.

- (1) Each  $\mathcal{Q}_{n+1}$  is a strict refinement of  $\mathcal{Q}_n$ ;
- (2) There exists  $b \in \mathbb{N}$  such that each atom of  $\mathcal{Q}_n$  coincides with the union of at most  $b$  atoms of  $\mathcal{Q}_{n+1}$ ;
- (3) There exists  $C > 1$ , such that  $C^{-1}|I| \leq |J| \leq C|I|$  for each pair of adjacent atoms  $I, J \in \mathcal{Q}_n$ .

The fundamental property of fine grids that we will use here is the following criterion, which is [7, Proposition 4.3(b)].

**Proposition 4.1** *Let  $\{\mathcal{Q}_n\}_{n \in \mathbb{N}}$  be a fine grid as in Definition 4.1. Let  $h$  be a circle homeomorphism, such that there exist constants  $C > 0$  and  $\lambda \in (0, 1)$  satisfying*

$$\left| \frac{|I|}{|J|} - \frac{|h(I)|}{|h(J)|} \right| \leq C\lambda^n, \quad (4.1)$$

for each pair of adjacent intervals  $I, J \in \mathcal{Q}_n$  and for all  $n \in \mathbb{N}$ . Then,  $h$  is a  $C^{1+\alpha}$ -diffeomorphism.

**Sketch of the proof of Proposition 4.1** As it easily follows from Definition 4.1, given a fine grid  $\{\mathcal{Q}_n\}_{n \in \mathbb{N}}$ , there exist constants  $C_0 > 1$  and  $0 < \lambda_0 < \lambda_1 < 1$ , such that

$$\frac{1}{C_0} \lambda_0^n \leq \min_{I \in \mathcal{Q}_n} \{|I|\} \leq \max_{I \in \mathcal{Q}_n} \{|I|\} \leq C_0 \lambda_1^n \quad \text{for all } n \in \mathbb{N}. \quad (4.2)$$

Moreover, an immediate consequence of condition (4.1) in the statement is that the image under  $h$  of the fine grid  $\{\mathcal{Q}_n\}_{n \in \mathbb{N}}$  is also a fine grid. From this and (4.2), we deduce that the sequence of piecewise affine homeomorphisms that coincide with  $h$  on the vertices of each  $\mathcal{Q}_n$  converges uniformly ( $C^0$  exponentially fast) to  $h$ . Since each fine grid is determined by a finite number of vertices, these approximations have a well-defined right-derivative (which is a step function with finitely many jumps), and it can be proved [combining Definition 4.1, (4.1), and (4.2)] that these right derivatives converge uniformly to an  $\alpha$ -Hölder continuous function (whose Hölder constant  $\alpha$  depends on  $\lambda$  and  $\lambda_0$ ). By elementary reasons, this implies that  $h$  is a  $C^{1+\alpha}$ -diffeomorphism. For more details, see [7, pages 357–358].  $\square$

We remark that the standard partitions  $\mathcal{P}_n$  (see Sect. 2.2) do not determine a fine grid, unless the rotation number of  $f$  is of bounded type. Our goal in this section is to construct a suitable fine grid for any given bicritical circle map  $f$ , while in Sect. 5, we will prove that such fine grid (together with the topological conjugacy  $h$  considered in Theorem B) satisfies the assumptions of Proposition 4.1. This will establish Theorem B. As already explained in Sect. 3, Theorem B implies our main result, namely Theorem A.

#### 4.1 Auxiliary Partitions

Let  $f$  be a  $C^3$  bicritical circle map with irrational rotation number  $\rho \in (0, 1)$  and critical points  $c_0$  and  $c_1$  (we will focus now on  $c_0$ , but of course, all constructions below can be done with  $c_1$ ). As explained in Sect. 2.4, for any given  $n \in \mathbb{N}$ , the first return map of  $f$  to  $J_n(c_0) = I_{n+1}(c_0) \cup I_n(c_0)$  is given by the commuting pair  $(f^{q_{n+1}}|_{I_n(c_0)}, f^{q_n}|_{I_{n+1}(c_0)})$ . This return map has two critical points as well: one of them being  $c_0$  itself, and the other one being the unique preimage of  $c_1$  for the return (note that they coincide if, and only if,  $c_1$  belongs to the positive orbit of  $c_0$ ). Such a critical point for the return map will be called *the free critical point at level  $n$* , and it will be denoted by  $c_n$ .

**Definition 4.2** A natural number  $n$  is a *two-bridges level* for  $f$  at  $c_0$  if  $a_{n+1} \geq 23$ , the free critical point  $c_n$  belongs to  $I_n(c_0) \setminus I_{n+2}(c_0)$  and moreover

$$c_n \in \bigcup_{j=11}^{a_{n+1}-10} \Delta_j,$$

where  $\Delta_j = f^{(j-1)q_{n+1}+q_n}(I_{n+1}(c_0))$  for all  $j \in \{1, \dots, a_{n+1}\}$ .

**Remark 4.1** Of course, there is nothing special about the number 23. It is just an arbitrary choice that we fix throughout the remainder of this paper.

In this subsection, we construct a sequence  $\{\widehat{\mathcal{P}}_n\}_{n \in \mathbb{N}}$  of finite interval partitions (modulo endpoints) of the unit circle, satisfying the following six properties.

- (1) Each partition  $\widehat{\mathcal{P}}_n$  is dynamically defined from the critical set of  $f$ : all its vertices are iterates (either forward or backward) of  $c_0$  or  $c_1$ .
- (2) Both intervals  $I_n(c_0)$  and  $I_{n+1}(c_0)$  belong to  $\widehat{\mathcal{P}}_n$ .
- (3) The partition  $\widehat{\mathcal{P}}_{n+1}$  is a *refinement* of  $\widehat{\mathcal{P}}_n$ : each interval of  $\widehat{\mathcal{P}}_n$  either coincides with the disjoint union of at least two intervals of  $\widehat{\mathcal{P}}_{n+1}$ , or belongs itself to  $\widehat{\mathcal{P}}_{n+1}$  (in which case it coincides with the disjoint union of at least two intervals of  $\widehat{\mathcal{P}}_{n+2}$ ).
- (4) If  $n$  is a two-bridges level for  $f$  at  $c_0$ , the free critical point  $c_n$  is a vertex of  $\widehat{\mathcal{P}}_{n+1}$ .
- (5) Any vertex of the standard partition  $\mathcal{P}_n$  belongs to  $\widehat{\mathcal{P}}_m$  for some  $m \geq n$ .
- (6) There exists a constant  $C > 1$  (depending only on  $f$ ), such that  $C^{-1} |I| \leq |J| \leq C |I|$  for each pair of adjacent atoms  $I, J \in \widehat{\mathcal{P}}_n$ .

The first five properties above describe the *combinatorics* of the sequence  $\{\widehat{\mathcal{P}}_n\}$ , while Item (6) bounds its *geometry*. The main difference between the partitions  $\widehat{\mathcal{P}}_n$  and the standard partitions  $\mathcal{P}_n(c_0)$  is Item (4). The partitions  $\widehat{\mathcal{P}}_n$  will be called *auxiliary partitions* around  $c_0$ . Just as the standard partitions, they do not determine a fine grid, unless the rotation number of  $f$  is of bounded type. However, in Sect. 4.2, we will use these auxiliary partitions to finally build a fine grid  $\{\mathcal{Q}_n\}_{n \in \mathbb{N}}$  for the bicritical circle map  $f$  (this fine grid will be extracted from the auxiliary partitions, in the sense that any vertex of  $\mathcal{Q}_n$  will also be a vertex of  $\widehat{\mathcal{P}}_n$ ; see Proposition 4.2 in Sect. 4.2.4 below). Further properties of the auxiliary partitions (such as Lemma 4.6 below) will be useful in Sect. 5.

#### 4.1.1 Building Auxiliary Partitions

For the initial partition  $\widehat{\mathcal{P}}_0$ , we simply consider the standard partition  $\mathcal{P}_0(c_0)$  that is:

$$\widehat{\mathcal{P}}_0 = \mathcal{P}_0(c_0) = \left\{ [f^i(c_0), f^{i+1}(c_0)] : i \in \{0, \dots, a_0 - 1\} \right\} \cup \left\{ [f^{a_0}(c_0), c_0] \right\},$$

where  $a_0$  is the integer part of  $1/\rho$  (see Sect. 2.2). Now, we fix some  $n \in \mathbb{N}$  and we build  $\widehat{\mathcal{P}}_{n+1}$  from  $\widehat{\mathcal{P}}_n$  (this defines inductively the whole sequence  $\{\widehat{\mathcal{P}}_n\}_{n \in \mathbb{N}}$ ).

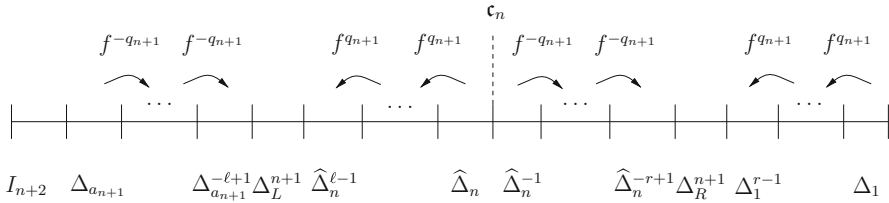


Fig. 3 The auxiliary partition  $\widehat{\mathcal{P}}_{n+1}$  inside  $I_n(c_0)$ , for a two-bridges level  $n$

On one hand, if  $n$  is a two-bridges level for  $f$  at  $c_0$ , consider the following three pairwise disjoint fundamental domains for  $f^{q_{n+1}}$ , all of them contained in  $I_n(c_0) \setminus I_{n+2}(c_0)$  (Fig. 3):

$$\begin{aligned} \Delta_1 &= f^{q_n}(I_{n+1}(c_0)), \\ \widehat{\Delta}_n &= [f^{q_{n+1}}(c_n), c_n], \\ \Delta_{a_{n+1}} &= f^{(a_{n+1}-1)q_{n+1}}(\Delta_1) = [f^{q_{n+2}}(c_0), f^{(a_{n+1}-1)q_{n+1}+q_n}(c_0)]. \end{aligned}$$

For each  $j \in \mathbb{Z}$ , denote by  $\Delta_1^j$ ,  $\widehat{\Delta}_n^j$  and  $\Delta_{a_{n+1}}^j$  the intervals  $f^{jq_{n+1}}(\Delta_1)$ ,  $f^{jq_{n+1}}(\widehat{\Delta}_n)$  and  $f^{jq_{n+1}}(\Delta_{a_{n+1}})$ , respectively. Let  $r(n)$ ,  $\ell(n) \in \{0, \dots, a_{n+1}\}$  be given by

$$r(n) = \min\{j \in \mathbb{N} : \widehat{\Delta}_n^{-j} \cap \Delta_1^j \neq \emptyset\} \quad \text{and} \quad \ell(n) = \min\{j \in \mathbb{N} : \Delta_{a_{n+1}}^{-j} \cap \widehat{\Delta}_n^j \neq \emptyset\}.$$

Note that the intersections above may be given by a single point. Just to fix ideas, let us assume that  $\Delta_{a_{n+1}}^{-\ell} \setminus \widehat{\Delta}_n^\ell \subseteq \widehat{\Delta}_n^{\ell+1}$  and  $\widehat{\Delta}_n^{-r} \setminus \Delta_1^r \subseteq \Delta_1^{r+1}$ , and consider  $\Delta_R^{n+1} = \Delta_1^r \cup \widehat{\Delta}_n^{-r}$  and  $\Delta_L^{n+1} = \Delta_{a_{n+1}}^{-\ell} \cup \widehat{\Delta}_n^\ell$ . With this at hand, we define the auxiliary partition  $\widehat{\mathcal{P}}_{n+1}$  inside  $I_n(c_0)$ , for a two-bridges level  $n$ , as

$$\widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)} = \{I_{n+2}(c_0), \{\Delta_{a_{n+1}}^{-j}\}_{j=0}^{\ell-1}, \Delta_L^{n+1}, \{\widehat{\Delta}_n^j\}_{j=1-r}^{\ell-1}, \Delta_R^{n+1}, \{\Delta_1^j\}_{j=0}^{r-1}\},$$

and we spread this definition to the whole circle in the usual way:

$$\begin{aligned} \widehat{\mathcal{P}}_{n+1} &= \left\{ f^i(I_{n+1}(c_0)) : 0 \leq i \leq q_n - 1 \right\} \\ &\cup \left\{ f^j(I) : I \in \widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)}, 0 \leq j \leq q_{n+1} - 1 \right\}. \end{aligned}$$

On the other hand, if  $n$  is not a two-bridges level for  $f$  at  $c_0$ , we would like to consider  $\widehat{\mathcal{P}}_{n+1}$  just as the standard partition  $\mathcal{P}_{n+1}(c_0)$ . However,  $\mathcal{P}_{n+1}(c_0)$  is given only by iterates of  $c_0$ , and then it does not have  $c_i$  as a vertex for any two-bridges level  $i \in \{0, \dots, n-1\}$ . In other words, the partition  $\mathcal{P}_{n+1}(c_0)$  is not a refinement of  $\widehat{\mathcal{P}}_n$  (unless, of course, no previous level was a two-bridges level). To correct this flaw, we simply proceed as follows: for any given vertex  $v$  of  $\widehat{\mathcal{P}}_n$ , let  $w$  be the vertex of  $\mathcal{P}_{n+1}(c_0)$  closest to  $v$  (in the Euclidean distance). Then, we just replace  $w$  by  $v$ : we remove  $w$  from  $\mathcal{P}_{n+1}(c_0)$ , and we add  $v$  to this partition (in case  $v$  is the middle point of the

interval of  $\mathcal{P}_{n+1}(c_0)$  that contains it, we just add it to  $\widehat{\mathcal{P}}_{n+1}(c_0)$  without removing any point). After this *replacement procedure*, we denote by  $\widehat{\mathcal{P}}_{n+1}$  the obtained partition.

With this construction at hand, we define inductively the sequence of finite partitions  $\{\widehat{\mathcal{P}}_n\}_{n \in \mathbb{N}}$  of the unit circle. The combinatorial properties (1) to (5) listed above are not difficult to check, while Item (6) follows by combining the following lemma with the real bounds (Theorem 2.2).

**Lemma 4.2** *If  $\Delta \in \widehat{\mathcal{P}}_n$  and  $\Delta' \in \mathcal{P}_n(c_0)$  are two atoms, such that  $\Delta \cap \Delta' \neq \emptyset$ , then  $|\Delta| \asymp |\Delta'|$ .*

**Proof of Lemma 4.2** We fix some  $n$  and we prove the desired comparability for intersecting atoms of  $\widehat{\mathcal{P}}_{n+1}$  and  $\mathcal{P}_{n+1}(c_0)$ , respectively. For a two-bridges level  $n$  (for  $f$  at  $c_0$ ), we have three different types of atoms of  $\widehat{\mathcal{P}}_{n+1}$ :

- The following atoms of  $\widehat{\mathcal{P}}_{n+1}$  also belong to  $\mathcal{P}_{n+1}(c_0)$ :

$$\begin{aligned} f^i(I_{n+1}(c_0)) &: 0 \leq i \leq q_n - 1, \\ f^i(I_{n+2}(c_0)) &: 0 \leq i \leq q_{n+1} - 1, \\ f^i(\Delta_{a_{n+1}}^{-j}) &: 0 \leq j \leq \ell - 1, 0 \leq i \leq q_{n+1} - 1, \\ f^i(\Delta_1^j) &: 0 \leq j \leq r - 1, 0 \leq i \leq q_{n+1} - 1. \end{aligned}$$

- For the atoms  $f^i(\Delta_L^{n+1})$  and  $f^i(\Delta_R^{n+1})$ , with  $0 \leq i \leq q_{n+1} - 1$ , we just note the following: both  $f^i(\Delta_{a_{n+1}}^{-\ell})$  and  $f^i(\Delta_1^r)$  belong to  $\mathcal{P}_{n+1}(c_0)$  for any  $0 \leq i \leq q_{n+1} - 1$ , and then, we can apply Remark 2.3 with  $I = f^i(\Delta_{a_{n+1}}^{-\ell})$  and  $J = f^i(\Delta_L^{n+1})$ , and also with  $I = f^i(\Delta_1^r)$  and  $J = f^i(\Delta_R^{n+1})$ .
- For any  $1 - r \leq j \leq \ell - 1$  and any  $0 \leq i \leq q_{n+1} - 1$ , the interval  $f^i(\widehat{\Delta}_n^j)$  intersects at most two atoms of  $\mathcal{P}_{n+1}(c_0)$ , say  $I$  and  $J$ , both being consecutive fundamental domains of  $f^{q_{n+1}}$ . Since  $f^i(\widehat{\Delta}_n^j) \subseteq I \cup J \subseteq f^i(\widehat{\Delta}_n^{j+1}) \cup f^i(\widehat{\Delta}_n^j) \cup f^i(\widehat{\Delta}_n^{j-1})$ , we are done by Corollary 2.6.

Therefore, we have comparability when  $n$  is a two-bridges level. Finally, by the real bounds, if  $n$  is *not* a two-bridges level, then the replacement procedure described above (to build the auxiliary partition  $\widehat{\mathcal{P}}_{n+1}$  from the standard partition  $\mathcal{P}_{n+1}(c_0)$ ) creates neither small nor big intervals, since, given a missing vertex of  $\widehat{\mathcal{P}}_n$ , we remove from  $\mathcal{P}_{n+1}(c_0)$  its closest vertex. Therefore, we also have comparability when  $n$  is not a two-bridges level. □

As proved in [10, Lemma 4.1], any two intersecting atoms belonging to the same level of the standard dynamical partitions of two distinct critical points are comparable. When combined with Lemma 4.2, this gives us the following fact that will be mentioned in Sect. 5 (during the proof of Lemma 5.7).

**Corollary 4.3** *If  $\Delta \in \widehat{\mathcal{P}}_n$  and  $\Delta' \in \mathcal{P}_n(c_1)$  are two atoms, such that  $\Delta \cap \Delta' \neq \emptyset$ , then  $|\Delta| \asymp |\Delta'|$ .*

In Sect. 5, we will also use the following immediate consequence of properties (3) and (6) of the auxiliary partitions.



**Corollary 4.4** *There exists  $\mu \in (0, 1)$ , such that  $|J| \leq \mu|I|$  for all  $I \in \widehat{\mathcal{P}}_n$  and  $J \in \widehat{\mathcal{P}}_{n+1}$  with  $J \subsetneq I$ .*

**4.1.2 A Combinatorial Remark**

We finish Sect. 4.1 with Lemma 4.6 below, which is an adaptation of [7, Lemma 4.9] to the auxiliary partitions, that is going to be crucial in Sect. 5, during the proof of Lemma 5.1. Let us point out first the following fact that follows straightforward from our construction.

**Lemma 4.5** *Let  $n \in \mathbb{N}$  and  $y \in J_n(c_0) \setminus J_{n+1}(c_0)$ . Then, the following holds.*

- *If  $n$  is not a two-bridges level, there exist  $x \in J_{n+1}(c_0)$ ,  $\sigma \in \{0, 1\}$  and  $k \in \mathbb{Z}$ , with  $|k| \leq \lceil a_{n+1}/2 \rceil$ , such that  $y = f^{kq_{n+1} + \sigma q_n}(x)$ .*
- *If  $n$  is a two-bridges level, there exist  $x \in J_{n+1}(c_0) \cup \widehat{\Delta}_n$ ,  $\sigma \in \{0, 1\}$  and  $k \in \mathbb{Z}$ , with  $|k| \leq \ell(n)$  and  $|k| \leq r(n)$ , such that  $y = f^{kq_{n+1} + \sigma q_n}(x)$ .*

By induction, we obtain the following description (for more details, see [7, pages 363–364]).

**Lemma 4.6** *Let  $n, p \in \mathbb{N}$ , and let  $v$  be a vertex of  $\widehat{\mathcal{P}}_{n+p}$  contained in  $J_n(c_0)$ . Then, there exist  $L \in \{1, \dots, p\}$  and  $n \leq m_1 < \dots < m_L \leq n + p$ , such that  $v = \varphi_1 \circ \dots \circ \varphi_L(x)$ , where*

- *For each  $j \in \{1, \dots, L\}$  we have  $\varphi_j = f^{k_j q_{m_j+1} + \sigma_j q_{m_j}}$  for some  $\sigma_j \in \{0, 1\}$  and  $k_j \in \mathbb{Z}$ , where each  $k_j$  either satisfies  $|k_j| \leq \ell(m_j)$  and  $|k_j| \leq r(m_j)$  or  $|k_j| \leq \lceil a_{m_j+1}/2 \rceil$ , depending on whether  $m_j$  is or is not a two-bridges level (for  $f$  at  $c_0$ ).*
- *For each  $j \in \{1, \dots, L - 1\}$ , the point  $\varphi_{j+1} \circ \dots \circ \varphi_L(x)$  either belongs to  $J_{m_j+1}(c_0) \cup \widehat{\Delta}_{m_j}$  or to  $J_{m_j+1}(c_0)$ , depending on whether  $m_j$  is or is not a two-bridges level.*
- *There exists  $m \in \{m_L, \dots, n + p\}$ , such that the initial condition  $x$  either belongs to  $\{c_0, f^{q_{m+2}}(c_0), c_m\}$  or to  $\{c_0, f^{q_{m+2}}(c_0)\}$ , depending on whether  $m$  is or is not a two-bridges level.*

As already mentioned, the auxiliary partitions do not determine a fine grid, unless the rotation number of  $f$  is of bounded type. In the next subsection, we finally construct a fine grid for  $f$ .

**4.2 Building a Fine Grid**

In the remainder of Sect. 4, we adapt the construction of [7, Section 4.3] to our setting, following the exposition in [10]. More precisely, in Sects. 4.2.1, 4.2.2 and 4.2.3, we follow [10, Sections 4.4–4.6], while in Sect. 4.2.4, we follow [10, Section 5.2].

**4.2.1 Intermediate Partitions**

**Definition 4.3** We define *bridges* for the auxiliary partitions as follows.

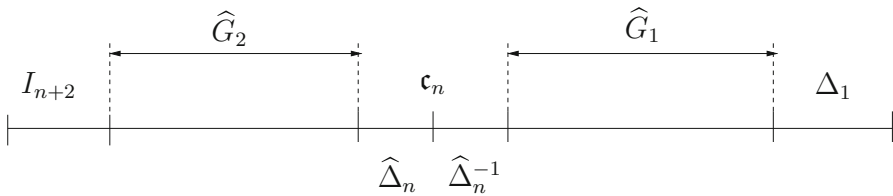


Fig. 4 The bridges  $\widehat{G}_1$  and  $\widehat{G}_2$  contained in  $I_n(c_0)$ , for a two-bridges level  $n$

- If  $n$  is a two-bridges level for  $f$  at  $c_0$  (see Definition 4.2), then the bridges of  $\widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)}$  are the intervals  $\widehat{G}_1$  and  $\widehat{G}_2$  given by (Fig. 4)

$$\widehat{G}_1 = \bigcup_{j=1}^{r-1} \Delta_1^j \cup \Delta_R^{n+1} \cup \bigcup_{j=2}^{r-1} \widehat{\Delta}_n^{-j} \quad \text{and} \quad \widehat{G}_2 = \bigcup_{j=1}^{\ell-1} \widehat{\Delta}_n^j \cup \Delta_L^{n+1} \cup \bigcup_{j=0}^{\ell-1} \Delta_{a_{n+1}}^{-j}.$$

- If  $a_{n+1} \geq 23$  but  $n$  is not a two-bridges level, note that all intervals  $\Delta_j$  with  $j \in \{12, \dots, a_{n+1} - 11\}$  belong to  $\widehat{\mathcal{P}}_{n+1}$ , since the replacement procedure described in the previous section will not affect their vertices. In this case, we consider a single bridge of  $\widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)}$ , which is the interval  $\widehat{G}_1$  given by

$$\widehat{G}_1 = \bigcup_{j=12}^{a_{n+1}-11} \Delta_j.$$

In both cases, the bridges of  $\widehat{\mathcal{P}}_{n+1}$  are the iterates, between 0 and  $q_{n+1} - 1$ , of the bridges of  $\widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)}$ . Finally, if  $a_{n+1} \leq 22$ , no bridges are defined for  $\widehat{\mathcal{P}}_{n+1}$ .

Let  $I$  be a bridge of  $\widehat{\mathcal{P}}_{n+1}$ , that is,  $I$  is the union of a certain number of adjacent intervals belonging to  $\widehat{\mathcal{P}}_{n+1}$ . Following the terminology used in [7] and [10], we say that  $I$  is a:

- (a) *Regular bridge*, if the bridge is formed by less than 1000 intervals of  $\widehat{\mathcal{P}}_{n+1}$ .
- (b) *Saddle-node bridge*, if the bridge is formed by at least 1000 intervals of  $\widehat{\mathcal{P}}_{n+1}$ .

Any atom of  $\widehat{\mathcal{P}}_{n+1}$  disjoint from all bridges will be called a *regular interval*. In particular, if  $a_{n+1} \leq 22$ , all intervals of  $\widehat{\mathcal{P}}_{n+1}$  are regular, since no bridges were defined.

Finally, the *intermediate partition*  $\widetilde{\mathcal{P}}_{n+1}$  is defined as the union of all regular intervals and all bridges (regular or saddle node) of the auxiliary partition  $\widehat{\mathcal{P}}_{n+1}$  (note that  $\widetilde{\mathcal{P}}_{n+1}$  is finer than  $\widehat{\mathcal{P}}_n$  but coarser than  $\widehat{\mathcal{P}}_{n+1}$ , which is why we call it intermediate).

**Remark 4.7** Any atom of  $\widehat{\mathcal{P}}_n$  is the union of at most 48 atoms of  $\widetilde{\mathcal{P}}_{n+1}$ . This fact will be mentioned in Sect. 4.2.4, during the proof of Proposition 4.2.

The following lemma shows that all intervals of  $\widetilde{\mathcal{P}}_{n+1}$  contained in the same atom of  $\widehat{\mathcal{P}}_n$  are pairwise comparable.

**Lemma 4.8** *Any interval of the intermediate partition  $\widetilde{\mathcal{P}}_{n+1}$  is comparable to the interval of the auxiliary partition  $\widehat{\mathcal{P}}_n$  that contains it.*

In the proof of Lemma 4.8, we will use the following fact, which is [5, Lemma 4.2].

**Lemma 4.9** *Let  $n$  be a two-bridges level, and let  $j \in \{1, \dots, a_{n+1}\}$  be such that the interval  $\Delta_j = f^{(j-1)q_{n+1}+q_n}(I_{n+1}(c_0)) \subset I_n(c_0)$  contains the free critical point  $c_n$  of  $f^{q_{n+1}}$ . Then*

$$|f^i(\Delta_j)| \asymp |f^i(I_n(c_0))| \quad \text{for all } i \in \{0, \dots, q_{n+1} - 1\}.$$

**Proof of Lemma 4.8** Note first that, by Lemma 4.2, it is enough to prove that any regular interval or bridge of  $\widehat{\mathcal{P}}_{n+1}$  is comparable to the interval of  $\mathcal{P}_n(c_0)$  that contains it.

- If  $a_{n+1} \leq 22$ , we have  $\widetilde{\mathcal{P}}_{n+1} = \widehat{\mathcal{P}}_{n+1}$ , and then, Lemma 4.8 follows from the real bounds (Theorem 2.2) and the fact, already mentioned, that the replacement procedure (to build  $\widehat{\mathcal{P}}_{n+1}$  from  $\mathcal{P}_{n+1}(c_0)$ ) creates no small atoms.
- If  $a_{n+1} \geq 23$ , but  $n$  is not a two-bridges level, we have two different types of elements in  $\widetilde{\mathcal{P}}_{n+1}$ .
  - By the real bounds, any interval of  $\mathcal{P}_{n+1}(c_0)$  of the form  $f^i(I_{n+1}(c_0))$  with  $0 \leq i \leq q_n - 1$ ,  $f^i(I_{n+2}(c_0))$  with  $0 \leq i \leq q_{n+1} - 1$ , or  $f^i(\Delta_j)$  with  $j \in \{1, \dots, 11\} \cup \{a_{n+1} - 10, \dots, a_{n+1}\}$  and  $0 \leq i \leq q_{n+1} - 1$  is comparable to the interval of  $\mathcal{P}_n(c_0)$  that contains it. Using again that the replacement procedure creates no small atoms, we deduce Lemma 4.8 for any regular interval of  $\widehat{\mathcal{P}}_{n+1}$  which is not a bridge.
  - Any bridge of  $\widehat{\mathcal{P}}_{n+1}$  contains an interval which is adjacent to one of the intervals considered in the previous item, and we are done by the real bounds and Property (6) of the auxiliary partitions.
- For a two-bridges level  $n$ , we have four different types of elements in  $\widetilde{\mathcal{P}}_{n+1}$ .
  - The case of  $I$  being an iterate of  $I_{n+1}(c_0)$ ,  $I_{n+2}(c_0)$  or  $\Delta_1$  follows from the real bounds.
  - Let  $I = f^i(\widehat{\Delta}_n)$  for some  $i \in \{0, \dots, q_{n+1} - 1\}$ , and let  $\Delta \in \mathcal{P}_{n+1}(c_0)$  be the interval that contains the free critical point  $c_n$ . By Lemma 4.9, we have

$$|f^i(\Delta)| \asymp |f^i(I_n(c_0))| \quad \text{for all } i \in \{0, 1, \dots, q_{n+1} - 1\},$$

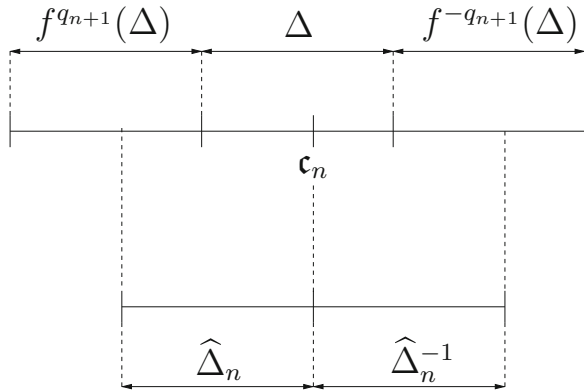
while by Corollary 2.6, we have  $|f^i(\widehat{\Delta}_n)| \asymp |f^i(\widehat{\Delta}_n^{-1})|$ . Therefore,  $|f^i(I_n(c_0))| \asymp |f^i(\Delta)| \leq |f^i(\widehat{\Delta}_n) \cup f^i(\widehat{\Delta}_n^{-1})| \asymp |f^i(\widehat{\Delta}_n)|$ ; see Fig. 5.

- Just as in the previous item, we have  $|f^i(\widehat{\Delta}_n^{-1})| \asymp |f^i(I_n(c_0))|$  for all  $i \in \{0, \dots, q_{n+1} - 1\}$ .
- Just as before, if  $I$  is a bridge of  $\widehat{\mathcal{P}}_{n+1}$ , it contains an interval which is adjacent to one of the intervals in the previous items (and then, we are done by the real bounds and Property (6) of the auxiliary partitions).

□

**Remark 4.10** For any given bridge  $\widehat{G}_i \subset I_n(c_0)$ , denote by  $\widehat{G}_i^* \subset I_n(c_0)$  the union of  $\widehat{G}_i$  with its two neighbours in the auxiliary partition  $\widehat{\mathcal{P}}_{n+1}$ , and note that the map  $f^{q_{n+1}} : \text{int}(\widehat{G}_i^*) \rightarrow f^{q_{n+1}}(\text{int}(\widehat{G}_i^*))$  is a diffeomorphism. By Lemma 4.8, both neighbours of

**Fig. 5** The interval  $\Delta$  of the standard partition  $\mathcal{P}_{n+1}(c_0)$  containing the free critical point  $c_n$ , for a two-bridges level  $n$



$\widehat{G}_i$  are comparable to it (since they are comparable to  $I_n(c_0)$ ), and the same happens to all its images up to time  $q_{n+1}$ . Therefore, by the standard Koebe’s distortion principle [9, Section IV.3, Theorem 3.1], there exists a constant  $K > 1$ , depending only on the real bounds, such that

$$\frac{1}{K} \leq \frac{Df^j(x)}{Df^j(y)} \leq K \quad \text{for all } x, y \in \widehat{G}_i \text{ and } j \in \{1, \dots, q_{n+1}\}.$$

This remark will be useful in Sect. 4.2.3, to propagate some geometric bounds from bridges contained in  $I_n(c_0)$  to any bridge along the unit circle.

### 4.2.2 Balanced Decompositions of Almost Parabolic Maps

Recall that the *Schwarzian derivative* of a one-dimensional  $C^3$  map  $\phi$  is the differential operator defined at regular points by

$$S\phi(x) = \frac{D^3\phi(x)}{D\phi(x)} - \frac{3}{2} \left( \frac{D^2\phi(x)}{D\phi(x)} \right)^2.$$

**Definition 4.4** An *almost parabolic map* is a  $C^3$  diffeomorphism

$$\phi : J_1 \cup J_2 \cup \dots \cup J_\ell \rightarrow J_2 \cup J_3 \cup \dots \cup J_{\ell+1},$$

where  $J_1, J_2, \dots, J_{\ell+1}$  are adjacent intervals on the circle (or on the line), with the following properties.

- (i) One has  $\phi(J_\nu) = J_{\nu+1}$  for all  $1 \leq \nu \leq \ell$ ;
- (ii) The Schwarzian derivative of  $\phi$  is everywhere negative.

The positive integer  $\ell$  is called the *length* of  $\phi$ , and the positive real number

$$\sigma = \min \left\{ \frac{|J_1|}{|\cup_{v=1}^{\ell} J_v|}, \frac{|J_{\ell}|}{|\cup_{v=1}^{\ell} J_v|} \right\}$$

is called the *width* of  $\phi$ .

The following property about the geometry of the fundamental domains of an almost parabolic map is due to Yoccoz.

**Lemma 4.11** (Yoccoz). *Let  $\phi : \cup_{v=1}^{\ell} J_v \rightarrow \cup_{v=2}^{\ell+1} J_v$  be an almost parabolic map with length  $\ell$  and width  $\sigma$ . There exists a constant  $C_{\sigma} > 1$  (depending on  $\sigma$  but not on  $\ell$ ), such that, for all  $v = 1, 2, \dots, \ell$ , we have*

$$\frac{C_{\sigma}^{-1}|I|}{[\min\{v, \ell + 1 - v\}]^2} \leq |J_v| \leq \frac{C_{\sigma}|I|}{[\min\{v, \ell + 1 - v\}]^2}, \tag{4.3}$$

where  $I = \cup_{v=1}^{\ell} J_v$  is the domain of  $\phi$ .

A proof of this lemma can be found in [7, Appendix B, page 386]. The following result is [10, Lemma 4.5], and its proof is a fairly immediate application of Lemma 4.11.

**Lemma 4.12** *Let  $\phi$  be an almost parabolic map with domain  $I = \cup_{v=1}^{\ell} J_v$ , and let  $d \in \mathbb{N}$  be largest such that  $2^{d+1} \leq \ell/2$ . There exists a descending chain of (closed) intervals*

$$I = M_0 \supset M_1 \supset \dots \supset M_{d+1}$$

for which, letting  $L_i, R_i$  denote the (left and right) connected components of  $M_i \setminus M_{i+1}$  for all  $0 \leq i \leq d$ , the following properties hold.

- (i) *Each of the intervals  $L_i, R_i$  is the union of exactly  $2^i$  adjacent atoms (fundamental domains) of  $I$ .*
- (ii) *We have*

$$I = \bigcup_{i=0}^d L_i \cup M_{d+1} \cup \bigcup_{i=0}^d R_i. \tag{4.4}$$

- (iii) *For each  $0 \leq i \leq d$ , we have  $|L_i| \asymp |M_{i+1}| \asymp |R_i|$ , with comparability constants depending only on the width  $\sigma$  of  $\phi$ .*

A decomposition of the form (4.4) satisfying properties (i), (ii), (iii) of Lemma 4.12 is called a *balanced decomposition* of  $I$ . The intervals  $M_i, 0 \leq i \leq d + 1$ , are said to be *central*, whereas the intervals  $L_i, R_i, 0 \leq i \leq d$ , are said to be *lateral*.

**Remark 4.13** As it follows from Yoccoz’s Lemma 4.11, the following fact holds true for the fundamental domains  $J_\nu$  ( $1 \leq \nu \leq \ell$ ) of any almost parabolic map  $\phi$ : for all  $1 \leq k < l < m \leq \ell$ , one has

$$\frac{|J_{l+1}| + |J_{l+2}| + \dots + |J_m|}{|J_{k+1}| + |J_{k+2}| + \dots + |J_l|} \asymp \frac{k(m-l)}{m(l-k)},$$

with comparability constant depending only on the width  $\sigma$  of  $\phi$ . In particular, if the interval  $\bigcup_{k+1}^m J_\nu$  is contained in a lateral or the *final* central interval of a balanced decomposition as in (4.4), and if  $m-l$  is at most four times larger than  $l-k$  (and vice versa), one has  $|J_{k+1}| + |J_{k+2}| + \dots + |J_l| \asymp |J_{l+1}| + |J_{l+2}| + \dots + |J_m|$ , again with comparability constant depending only on the width of  $\phi$ . This fact will be useful in Sect. 4.2.4, during the proof of Proposition 4.2.

### 4.2.3 Balanced Decompositions of Bridges

We recall now [11, Lemma 4.1].

**Lemma 4.14** *For any given multicritical circle map  $f$  and any critical point  $c_0 \in S^1$  of  $f$ , there exists  $n_0 = n_0(f) \in \mathbb{N}$ , such that for all  $n \geq n_0$ , we have that*

$$Sf^j(x) < 0 \text{ for all } j \in \{1, \dots, q_{n+1}\} \text{ and for all } x \in I_n(c_0) \text{ regular point of } f^j.$$

Likewise, we have

$$Sf^j(x) < 0 \text{ for all } j \in \{1, \dots, q_n\} \text{ and for all } x \in I_{n+1}(c_0) \text{ regular point of } f^j.$$

At this point, we would like to apply Lemma 4.12 to any saddle-node bridge  $\widehat{G}_i$  of  $\widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)}$ , for  $n \geq n_0$ . Indeed, by construction, the map  $\phi = f^{q_{n+1}}|_{\widehat{G}_i}$  has no critical points; hence, it is a diffeomorphism onto its image. Moreover, by Lemma 4.14,  $\phi$  has negative Schwarzian derivative. Finally, note that by Lemma 4.8 and Property (6) of the auxiliary partitions, the width of  $\phi$  only depends on the real bounds. The only problem seems to be that, for a two-bridges level  $n$ , both bridges  $\widehat{G}_1$  and  $\widehat{G}_2$  of  $\widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)}$  contain an element of the auxiliary partition  $\widehat{\mathcal{P}}_{n+1}$  which may not be a fundamental domain for  $f^{q_{n+1}}$ , namely  $\Delta_R^{n+1}$  and  $\Delta_L^{n+1}$ , respectively. However, both of these intervals contain a fundamental domain and are contained in the union of such fundamental domain with one of its adjacent fundamental domains. Therefore, estimate (4.3) of Lemma 4.11 still holds, just by adjusting constants.

In other words, there exists a balanced decomposition for any saddle-node bridge of  $\widehat{\mathcal{P}}_{n+1}|_{I_n(c_0)}$  (with uniform comparability constants, depending only on the real bounds for  $f$ ). With this at hand, we use Remark 4.10 to spread this decomposition to all bridges around the unit circle, to obtain the following result, which is the goal of Sects. 4.2.2 and 4.2.3 .

**Lemma 4.15** *There exists a balanced decomposition for any saddle-node bridge of  $\widehat{\mathcal{P}}_{n+1}$ , with uniform comparability constants depending only on the real bounds for  $f$ .*

We finish Sect. 4.2.3 with the following estimate (borrowed from [7, Lemma 4.11]), which will be useful in Sect. 5 (during the proof of Lemma 5.7).

**Lemma 4.16** *There exists a constant  $M > 1$  depending only on the real bounds, such that for all  $k, p \in \mathbb{N}$ , we have that if  $I \in \widehat{\mathcal{P}}_k, J \in \widehat{\mathcal{P}}_{k+p}$  and  $J \subset I$ , then*

$$|I| \leq M^p (a_{k+1} a_{k+2} \dots a_{k+p})^2 |J|.$$

Moreover, the same estimate holds replacing  $J$  with its return  $f^{q_{k+1}}(J)$ .

Lemma 4.16 follows from Lemma 4.6, Lemma 4.8, Lemma 4.14, Yoccoz’s Lemma 4.11, Remark 4.10, and a simple inductive argument.

### 4.2.4 The Fine Grid

In Proposition 4.2, we finally construct a fine grid for  $f$ . The partition  $\mathcal{Q}_n$  that we want is constructed from  $\widehat{\mathcal{P}}_m$  and  $\widetilde{\mathcal{P}}_m$  for various values of  $m \leq n$ . At this point, our construction is essentially the same as in [7, pages 359–361] or [10, pages 5612–5614]. We reproduce it here just for the convenience of the reader.

**Proposition 4.2** *There exists a fine grid  $\{\mathcal{Q}_n\}$  in  $S^1$  with the following properties.*

- (a) *Every atom of  $\mathcal{Q}_n$  is the union of at most  $b = 1000$  atoms of  $\mathcal{Q}_{n+1}$ .*
- (b) *Every atom  $\Delta \in \mathcal{Q}_n$  is a union of atoms of  $\widehat{\mathcal{P}}_m$  and  $\widetilde{\mathcal{P}}_m$  for some  $m \leq n$ , and there are four possibilities:*
  - (b<sub>1</sub>)  *$\Delta$  is a single atom of  $\widehat{\mathcal{P}}_m$ , contained in a bridge atom of  $\widetilde{\mathcal{P}}_m$ ;*
  - (b<sub>2</sub>)  *$\Delta$  is a single atom of  $\widetilde{\mathcal{P}}_m$ ;*
  - (b<sub>3</sub>)  *$\Delta$  is a central interval of a saddle-node bridge atom of  $\widetilde{\mathcal{P}}_m$ ;*
  - (b<sub>4</sub>)  *$\Delta$  is the union of at least two atoms of  $\widehat{\mathcal{P}}_m$ , contained in a saddle-node bridge atom of  $\widetilde{\mathcal{P}}_m$ .*

**Remark 4.17** Any vertex of  $\mathcal{Q}_n$  is a vertex of  $\widehat{\mathcal{P}}_m$  for some  $m \leq n$ , and then, it is also a vertex of  $\widetilde{\mathcal{P}}_n$ . In other words, the auxiliary partition  $\widehat{\mathcal{P}}_n$  is a refinement of the fine grid  $\mathcal{Q}_n$ .

**Proof of Proposition 4.2** The proof is by induction on  $n$ . The first partition  $\mathcal{Q}_1$  consists of all atoms of  $\widetilde{\mathcal{P}}_1$  which are not saddle-node atoms, together with the intervals  $L_0, M_1$  and  $R_0$  of each saddle-node interval  $I \in \widetilde{\mathcal{P}}_1$  ( $I = L_0 \cup M_1 \cup R_0$ ). It is clear that each atom of  $\mathcal{Q}_1$  falls within one of the categories (b<sub>1</sub>)–(b<sub>3</sub>) above.

Assuming  $\mathcal{Q}_n$  has been defined, we define  $\mathcal{Q}_{n+1}$  as follows. Take an atom  $I \in \mathcal{Q}_n$  and consider the four cases below.

- (1) If  $I$  is a single atom of  $\widehat{\mathcal{P}}_m$ , we break it into the union of at most 48 atoms of  $\widetilde{\mathcal{P}}_{m+1}$  (recall Remark 4.7), and take them as atoms of  $\mathcal{Q}_{n+1}$ , all of which are of type (b<sub>2</sub>).
- (2) If  $I$  is a single atom of  $\widetilde{\mathcal{P}}_m$ , then one of two things can happen:

- (i)  $I$  is a saddle-node atom: In this case, write  $I = L_0 \cup M_1 \cup R_0$  as above and take  $L_0, R_0,$  and  $M_1$  as atoms of  $\mathcal{Q}_{n+1}$ . Note that the lateral intervals  $L_0$  and  $R_0$  are atoms of type  $(b_1)$ , while the central interval  $M_1$  is of type  $(b_3)$ .
  - (ii)  $I$  is not a saddle-node atom: Here, there are two sub-cases to consider. The first possibility is that  $I$  is a single (regular) atom of  $\widehat{\mathcal{P}}_m$ , and we proceed as in Item (1) above. The second possibility is that  $I$  is a (regular) bridge, in which case we break it up into its  $\leq 1000$  constituent atoms of  $\widehat{\mathcal{P}}_{m+1}$ , and take them as atoms of  $\mathcal{Q}_{n+1}$ , all of which are of type  $(b_1)$ .
- (3) If  $I$  is a central interval of a saddle-node bridge atom of  $\widetilde{\mathcal{P}}_m$ , then one of two things can happen. If  $I$  is the *final* central interval, proceed as in Item (4) below (unless  $I$  is just a single atom of  $\widehat{\mathcal{P}}_m$ , in which case we proceed as in Item (1) above). If  $I$  is a central interval which is not the final interval, consider the next central interval inside  $I$ , say  $M$ , and the two corresponding lateral intervals  $L$  and  $R$ , such that  $I = L \cup M \cup R$ , and declare  $L, R,$  and  $M$  members of  $\mathcal{Q}_{n+1}$ . Note that  $L$  and  $R$  are of type  $(b_4)$ , while  $M$  is of type  $(b_3)$ .
- (4) If  $I$  is a union of  $p \geq 2$  consecutive atoms  $J_1, \dots, J_p$  of  $\widehat{\mathcal{P}}_m$  inside a saddle-node bridge atom of  $\widetilde{\mathcal{P}}_m$ , divide it up into two approximately equal parts. More precisely, write  $p = 2q + r$ , where  $r = 0$  or  $1$ , and consider  $I = L \cup R$  where

$$L = \bigcup_{j=1}^q J_j, \quad R = \bigcup_{j=q+1}^p J_j.$$

We obtain in this fashion two new atoms of  $\mathcal{Q}_{n+1}$  (namely  $L$  and  $R$ ) which are either single atoms of  $\widehat{\mathcal{P}}_m$ , and therefore of type  $(b_1)$ , or once again intervals of type  $(b_4)$ .

This completes the induction. Both Item (1) and Item (2) in Definition 4.1 follow directly from our construction, so we finish the proof of Proposition 4.2 verifying Item (3). Given two adjacent atoms  $\Delta, \Delta' \in \mathcal{Q}_n$ , there are three cases to consider.

- (a) There exist  $m, m' \leq n$ , such that  $\Delta$  is a single atom of  $\widehat{\mathcal{P}}_m$  and  $\Delta'$  is a single atom of  $\widehat{\mathcal{P}}_{m'}$ . In this case, either  $m = m'$ , or  $m$  and  $m'$  differ by 1 (this is easily proved by induction on  $n$  from the construction of  $\mathcal{Q}_n$  given above). But then, we have  $|\Delta| \asymp |\Delta'|$  by Property (6) of the auxiliary partitions (see Sect. 4.1).
- (b) There exist  $m, m' \leq n$ , such that  $\Delta$  is a single atom of  $\widetilde{\mathcal{P}}_m$  and  $\Delta'$  is a single atom of  $\widetilde{\mathcal{P}}_{m'}$ . This case is analogous to the previous one, just replacing Property (6) with Lemma 4.8.
- (c) For some  $m \leq n$ , at least one of the two atoms, say  $\Delta$ , is the union of  $p \geq 2$  atoms of  $\widehat{\mathcal{P}}_m$  inside a single atom of  $\widetilde{\mathcal{P}}_m$ , which is necessarily a bridge  $\widehat{G} \in \widetilde{\mathcal{P}}_m$ . If we are not in the previous cases, then  $\Delta'$  is also contained in the bridge  $\widehat{G}$ . Looking at the balanced decomposition of  $\widehat{G}$  (given by Lemma 4.15), we see that there are four possibilities.
  - The first possibility is that  $\Delta$  is a lateral interval ( $L_i$  or  $R_i$ ) and  $\Delta'$  is the corresponding central interval  $M_{i+1}$  of the balanced decomposition of  $\widehat{G}$ , for some  $i \in \{0, \dots, d\}$ . This case follows from Property (iii) of Lemma 4.12.



- The second possibility is that  $\Delta$  is contained in a final lateral interval ( $L_d$  or  $R_d$ ) and  $\Delta'$  is contained in the final central interval  $M_{d+1}$  of the balanced decomposition of  $\widehat{G}$ . This case follows from Property (iii) of Lemma 4.12 and Remark 4.13.
- The third possibility is that both  $\Delta$  and  $\Delta'$  are contained in the same lateral interval ( $L_i, R_i$ ) or the same final central interval ( $M_{d+1}$ ) of said balanced decomposition. In this case, the number of fundamental domains of  $\widehat{G}$  inside  $\Delta$  differs at most by 1 from the number of those inside  $\Delta'$ , and then, we have  $|\Delta| \asymp |\Delta'|$  by Remark 4.13.
- The fourth possibility is that  $\Delta$  and  $\Delta'$  are contained in adjacent intervals of the balanced decomposition of  $\widehat{G}$ , both being lateral intervals. In this case, one of the two atoms,  $\Delta$  or  $\Delta'$ , is the union of at most four times fundamental domains of  $\widehat{G}$  than the other, and we have  $|\Delta| \asymp |\Delta'|$ , again by Remark 4.13.

This establishes the desired comparability of adjacent atoms of  $\mathcal{Q}_n$  in all cases, with uniform constants depending only on the real bounds, and the proof of Proposition 4.2 is complete. □

### 5 Proof of Theorem B

This final section is devoted to the proof of Theorem B (stated in Sect. 3). With this purpose, let  $f$  and  $g$  be  $C^3$  bicritical circle maps with the same irrational rotation number, which is contained in the set  $\mathcal{A}$  (Definition 2.3). Assume that  $f$  and  $g$  have the same signature (Definition 1.1) and that the two critical points of  $f$ , say  $c_0$  and  $c_1$ , are also the critical points of  $g$ . Assume, finally, that there exist  $C > 1$  and  $0 < \mu < 1$ , such that

$$\left| \frac{|I_n^g(c_i)|}{|I_n^f(c_i)|} - 1 \right| \leq C \mu^n \quad \text{and} \quad d_1(\mathcal{R}_i^n f, \mathcal{R}_i^n g) \leq C \mu^n, \tag{5.1}$$

both for  $i = 0$  and  $i = 1$ , and for all  $n \in \mathbb{N}$ . Using Proposition 4.1 and the fine grid constructed in Sect. 4, we will prove that the topological conjugacy  $h$  between  $f$  and  $g$  that fixes both  $c_0$  and  $c_1$  is a  $C^{1+\alpha}$  diffeomorphism. Our first goal is the following result.

**Proposition 5.1** (Key estimate) *There exist constants  $C_1 > 0$  and  $\mu_1 \in (0, 1)$  depending only on the real bounds for  $f$ , such that*

$$\|h - \text{Id}\|_{C^0(J_n^f(c_0))} \leq C_1 |J_n^f(c_0)| \mu_1^n \quad \text{for all } n \in \mathbb{N}.$$

Proposition 5.1 will be a consequence of the following lemma, borrowed from [7, Lemma 4.10].

**Lemma 5.1** *There exist constants  $C > 0$ ,  $K > 1$ , and  $\mu_* \in (0, 1)$  for which the following holds. Let  $n, p \in \mathbb{N}$  and let  $v$  be a vertex of the auxiliary partition  $\widehat{\mathcal{P}}_{n+p}$  (of  $f$  around  $c_0$ ) contained in  $J_n^f(c_0)$ . Then*

$$|v - h(v)| \leq C K^p |J_n^f(c_0)| \mu_*^n.$$

Moreover, the three constants  $C$ ,  $K$ , and  $\mu_*$  only depend on the real bounds.

Before giving the proof of Lemma 5.1, let us see why it implies Proposition 5.1.

**Proof of Proposition 5.1** Let  $\mu \in (0, 1)$  be given by Corollary 4.4 and let  $C > 0$ ,  $K > 1$  and  $\mu_* \in (0, 1)$  be given by Lemma 5.1. We define  $C_1 = C_1(\mu, C)$  and  $\mu_1 = \mu_1(\mu, K, \mu_*)$  as follows: fix some  $\sigma \in (0, 1)$  with  $\sigma < -\log \mu_* / \log K$ , and let  $\mu_1 = \max\{K^\sigma \mu_*, \mu^\sigma\}$  and  $C_1 = C + 1/\mu$ . Now, given  $n \in \mathbb{N}$  let  $p = \lfloor \sigma n \rfloor$ , and given  $x \in J_n^f(c_0)$ , let  $v_1$  and  $v_2$  be the endpoints of the atom of the auxiliary partition  $\widehat{\mathcal{P}}_{n+p}$  that contains  $x$ . Since both  $x$  and  $h(x)$  belong to the convex hull of  $[v_1, v_2] \cup [h(v_1), h(v_2)]$ , we deduce from Corollary 4.4 and Lemma 5.1 that

$$\begin{aligned} |x - h(x)| &\leq |v_1 - v_2| + \max_{i \in \{1,2\}} |v_i - h(v_i)| \leq \mu^p |J_n^f(c_0)| + C K^p |J_n^f(c_0)| \mu_*^n \\ &\leq \mu^{-1} (\mu^\sigma)^n |J_n^f(c_0)| + C (K^\sigma \mu_*)^n |J_n^f(c_0)| \leq C_1 |J_n^f(c_0)| \mu_1^n. \end{aligned}$$

□

**Remark 5.2** Recall that the auxiliary partitions  $\{\widehat{\mathcal{P}}_n\}_{n \in \mathbb{N}}$  built in Sect. 4.1 around  $c_0$  can also be constructed around  $c_1$ . Since we are assuming exponential convergence of renormalization for both critical points (as in (5.1) above), the statement of Lemma 5.1 remains valid for those partitions around  $c_1$ . In particular, Proposition 5.1 holds in  $J_n^f(c_1)$ , as well. This will be useful later, during the proof of Lemma 5.7.

We proceed to make some comments before entering the proof of Lemma 5.1. First of all, since we are renormalizing around  $c_0$ , let us write  $I_n^f$ ,  $I_{n+1}^f$  and  $J_n^f$  instead of  $I_n^f(c_0)$ ,  $I_{n+1}^f(c_0)$  and  $J_n^f(c_0)$ , respectively (and the same for  $g$ ). For each  $n \in \mathbb{N}$ , consider the  $n$ -th scaling ratio of  $f$ , which is the positive number  $s_n^f$  defined as

$$s_n^f = \frac{|I_{n+1}^f|}{|I_n^f|}.$$

By the real bounds (Theorem 2.2), the sequence  $\{s_n^f\}_{n \in \mathbb{N}}$  is bounded away from zero and infinity. Recall from Sect. 2.4 that the  $n$ th renormalization of  $f$  at  $c_0$  is the normalized multicritical commuting pair  $\mathcal{R}^n f : [-s_n^f, 1] \rightarrow [-s_n^f, 1]$  given by

$$\mathcal{R}^n f = \begin{cases} B_{n,f} \circ f^{q_n} \circ B_{n,f}^{-1} & \text{in } [-s_n^f, 0] \\ B_{n,f} \circ f^{q_{n+1}} \circ B_{n,f}^{-1} & \text{in } [0, 1], \end{cases}$$

where  $B_{n,f}$  is the unique orientation-preserving affine diffeomorphism between  $J_n^f$  and  $[-s_n^f, 1]$ .

**Remark 5.3** For any  $n \in \mathbb{N}$ , we have

$$\|\mathcal{R}^n f - \mathcal{R}^n g\|_{C^1([- \min\{s_n^f, s_n^g\}, 1])} \leq C \mu^n. \tag{5.2}$$

Indeed, by hypothesis (recall Definition 2.5), the difference  $|s_n^f - s_n^g|$  goes to zero exponentially fast. Since both sequences  $\{s_n^f\}_{n \in \mathbb{N}}$  and  $\{s_n^g\}_{n \in \mathbb{N}}$  are bounded away from zero and infinity, the two Möbius transformations fixing 0 and 1, and mapping  $-s_n^f$  ( $-s_n^g$  respectively) to  $-1$  converge together exponentially fast (and also its inverses). Since  $d_1(\mathcal{R}^n f, \mathcal{R}^n g) \leq C \mu^n$ , we deduce (5.2).

For all  $i \geq n$ , let  $A_{i,f} = B_{n,f} \circ B_{i,f}^{-1}$ , which is just the linear contraction given by

$$A_{i,f}(t) = \frac{|I_i^f|}{|I_n^f|} t.$$

Consider the interval  $\Lambda_i^f \subset [-s_n^f, 1]$  given by  $\Lambda_i^f = B_{n,f}(J_i^f)$ , and consider the bicritical commuting pair  $f_i^* : \Lambda_i^f \rightarrow \Lambda_i^f$  given by

$$f_i^* = A_{i,f} \circ \mathcal{R}^i f \circ A_{i,f}^{-1}.$$

The contraction  $A_{i,f}$ , the interval  $\Lambda_i^f$  and the pair  $f_i^*$  depend on  $n$ . However, since  $n$  is fixed, we omit to mention it just to simplify notation. In the same way, define the corresponding objects for  $g$ .

**Lemma 5.4** *We have*

$$\|f_i^* - g_i^*\|_{C^0(\Lambda_i^f \cap \Lambda_i^g)} \leq C \mu^n \frac{|I_i^f|}{|I_n^f|}.$$

**Proof of Lemma 5.4** Take some  $y \in \Lambda_i^f \cap \Lambda_i^g$ , and let  $y_f = A_{i,f}^{-1}(y)$  and  $y_g = A_{i,g}^{-1}(y)$ . Note that  $|y| \leq |J_i^f|/|I_n^f|$ . By combining the real bounds (Theorem 2.2) with estimate (3.1) in Lemma 3.2, we obtain

$$|y_f - y_g| = \left| \frac{|I_n^f|}{|I_i^f|} - \frac{|I_n^g|}{|I_i^g|} \right| |y| \leq C_1 \mu_1^n \frac{|I_n^f|}{|I_i^f|} \frac{|J_i^f|}{|I_n^f|} = C_1 \mu_1^n \frac{|J_i^f|}{|I_i^f|} \leq C_2 \mu_1^n.$$

From Corollary 2.5 (the  $C^1$ -bounds) and the exponential convergence of renormalization (recall Remark 5.3), we deduce that

$$|\mathcal{R}^i f(y_f) - \mathcal{R}^i g(y_g)| \leq C_3 |y_f - y_g| + C_4 \mu_2^i \leq C_3 C_2 \mu_1^n + C_4 \mu_2^i \leq C_5 \mu_3^n,$$

where  $\mu_3 = \max\{\mu_1, \mu_2\}$ . Using again Lemma 3.2, we finally obtain

$$\begin{aligned} |f_i^*(y) - g_i^*(y)| &= |A_{i,f}(\mathcal{R}^i f(y_f)) - A_{i,g}(\mathcal{R}^i g(y_g))| \\ &\leq \frac{|I_i^f|}{|I_n^f|} |\mathcal{R}^i f(y_f) - \mathcal{R}^i g(y_g)| + \left| \frac{|I_i^f|}{|I_n^f|} - \frac{|I_i^g|}{|I_n^g|} \right| |\mathcal{R}^i g(y_g)| \\ &\leq C_5 \mu_3^n \frac{|I_i^f|}{|I_n^f|} + C_1 \mu_1^n \frac{|I_i^f|}{|I_n^f|} \max\{1, s_i^g\} \leq C_6 \mu_3^n \frac{|I_i^f|}{|I_n^f|}. \end{aligned}$$

□

**Remark 5.5** As it is not difficult to prove, there exists a constant  $C_0 > 1$ , depending only on the real bounds for  $f$ , with the following property: let  $m$  be a two-bridges level for  $f$  at  $c_0$  (see Definition 4.2), and let  $c_m^f$  be the free critical point of the first return map of  $f$  to  $J_m^f$  (just as in Sect. 4.1). Note that  $c_m^g = h(c_m^f)$  is the corresponding free critical point for the return of  $g$  to  $J_m^g$ , and recall that  $d > 1$  is the maximum of the criticalities of  $f$  at  $c_0$  and  $c_1$ . Then

$$|B_{m,f}(c_m^f) - B_{m,g}(c_m^g)| \leq C_0 d_1 (\mathcal{R}^m f, \mathcal{R}^m g)^{1/d}.$$

Combined with hypothesis (5.1), Remark 5.5 gives us

$$|B_{m,f}(c_m^f) - B_{m,g}(c_m^g)| \leq C_0 C^{1/d} (\mu^m)^{1/d} = C_7 (\mu^{1/d})^m.$$

This is the only place in this paper where we need the assumption that exponential contraction of renormalization holds in the  $C^1$  metric (instead of just  $C^0$ , which is the assumption in [7]), to be able to control the position of the critical points for the return maps. Finally, let us recall [7, Proposition 4.1].

**Proposition 5.2** *Let  $\phi$  and  $\varphi$  be two almost parabolic maps with the same length  $\ell$  defined on the same interval. Then, for all  $x \in J_1(\phi) \cap J_1(\varphi)$  and for all  $0 \leq k \leq \ell/2$ , we have*

$$|\phi^k(x) - \varphi^k(x)| \leq C k^3 \|\phi - \varphi\|_{C^0}.$$

Let us mention that Proposition 5.2, which is based on the geometric inequalities given by Yoccoz’s Lemma 4.11, has been significantly improved in [15, Section 6] (see for instance Lemma 6.6 on page 2155 and Proposition 6.18 on page 2163). However, such sharper estimates will not be needed in the present paper. We are ready to start the proof of Lemma 5.1.

**Proof of Lemma 5.1** By Lemma 4.6, there exist  $L \in \{1, \dots, p\}$  and  $n \leq m_1 < \dots < m_L \leq n + p$ , such that  $v = \varphi_1 \circ \dots \circ \varphi_L(x)$ , where:

- For each  $j \in \{1, \dots, L\}$ , we have  $\varphi_j = f^{k_j q_{m_j+1} + \sigma_j q_{m_j}}$  for some  $\sigma_j \in \{0, 1\}$  and  $k_j \in \mathbb{Z}$ , where each  $k_j$  either satisfies  $|k_j| \leq \ell(m_j)$  and  $|k_j| \leq r(m_j)$  or  $|k_j| \leq \lceil a_{m_j+1}/2 \rceil$ , depending on whether  $m_j$  is or is not a two-bridges level for  $f$  at  $c_0$ .
- For each  $j \in \{1, \dots, L - 1\}$ , the point  $\varphi_{j+1} \circ \dots \circ \varphi_L(x)$  either belongs to  $J_{m_{j+1}}^f \cup \widehat{\Delta}_{m_j}^f$  or to  $J_{m_{j+1}}^f$ , depending on whether  $m_j$  is or is not a two-bridges level.
- There exists  $m \in \{m_L, \dots, n + p\}$ , such that the initial condition  $x$  either belongs to  $\{c_0, f^{q_{m+2}}(c_0), c_m^f\}$  or to  $\{c_0, f^{q_{m+2}}(c_0)\}$ , depending on whether  $m$  is or is not a two-bridges level.

Let  $w = h(v)$  and  $y = h(x)$ , and note that  $w = \psi_1 \circ \dots \circ \psi_L(y)$ , where  $\psi_j = h \circ \varphi_j \circ h^{-1}$  for each  $j \in \{1, \dots, L\}$ . To estimate  $|v - w|$ , we will first estimate  $|v^* - w^*|$ , where  $v^* = B_{n,f}(v)$  and  $w^* = B_{n,g}(w)$ . Let  $\mu_2 \in (0, 1)$  be defined as  $\mu_2 = \max\{\mu^{1/d}, \mu_1\}$ , where  $\mu$  is given by hypothesis (5.1),  $d > 1$  is the maximum of the criticalities of  $f$  at  $c_0$  and  $c_1$ , and  $\mu_1$  is given by Corollary 2.4. We start by considering  $x^* = B_{n,f}(x)$  and  $y^* = B_{n,g}(y)$ , and we claim that  $|x^* - y^*| \leq C_8 \mu_2^m$ . Indeed, note that

$$\begin{aligned} x^* - y^* &= A_{m,f}(B_{m,f}(x)) - A_{m,f}(B_{m,g}(y)) + (A_{m,f} - A_{m,g})(B_{m,g}(y)) \\ &= \frac{|I_m^f|}{|I_n^f|} (B_{m,f}(x) - B_{m,g}(y)) + \left( \frac{|I_m^f|}{|I_n^f|} - \frac{|I_m^g|}{|I_n^g|} \right) B_{m,g}(y). \end{aligned}$$

From the exponential convergence of renormalization (recall Remarks 5.3 and 5.5), we know that  $|B_{m,f}(x) - B_{m,g}(y)| \leq C_7 (\mu^{1/d})^m \leq C_7 \mu_2^m$ , while from estimate (3.1) in Lemma 3.2, we have

$$\left| \frac{|I_m^f|}{|I_n^f|} - \frac{|I_m^g|}{|I_n^g|} \right| \leq C_1 \mu^n \frac{|I_m^f|}{|I_n^f|} \leq C_1 \mu_2^n \frac{|I_m^f|}{|I_n^f|}.$$

From the real bounds (see Corollary 2.4), we know that

$$|I_m^f| \leq \mu_1^{m-n} |I_n^f| \leq \mu_2^{m-n} |I_n^f|,$$

and since  $|B_{m,g}(y)| \leq \max\{1, s_m^g\}$  is bounded, we obtain the claim.

Now, for each  $j \in \{1, \dots, L\}$ , let  $\varphi_j^* = B_{n,f} \circ \varphi_j \circ B_{n,f}^{-1}$  and  $\psi_j^* = B_{n,g} \circ \psi_j \circ B_{n,g}^{-1}$ . We claim that

$$\|\varphi_j^* - \psi_j^*\|_{C^0(\Lambda_{m_j}^f \cap \Lambda_{m_j}^g)} \leq C_9 a_{m_j+1}^3 \mu_2^{m_j}.$$

Indeed, combining Proposition 5.2 with Lemma 5.4, we have for any  $j \in \{1, \dots, L\}$  and  $y \in \Lambda_{m_j}^f \cap \Lambda_{m_j}^g$  that

$$|\varphi_j^*(y) - \psi_j^*(y)| \leq C_9 |k_j|^3 \mu^n \frac{|I_{m_j}^f|}{|I_n^f|} \leq C_9 |k_j|^3 \mu_2^n \frac{|I_{m_j}^f|}{|I_n^f|}.$$

As before, we know from the real bounds (see Corollary 2.4) that

$$|I_{m_j}^f| \leq \mu_1^{m_j-n} |I_n^f| \leq \mu_2^{m_j-n} |I_n^f|,$$

and then

$$|\varphi_j^*(y) - \psi_j^*(y)| \leq C_9 |k_j|^3 \mu_2^{m_j} \leq C_9 a_{m_j+1}^3 \mu_2^{m_j}$$

for any  $j \in \{1, \dots, L\}$  and  $y \in \Lambda_{m_j}^f \cap \Lambda_{m_j}^g$ , as claimed.

Finally, we deduce from Yoccoz’s Lemma 4.11 (combined with Koebe’s distortion principle) that there exists a constant  $K > 1$ , depending only on the real bounds for  $f$ , such that each  $\varphi_j$  is  $C^1$  uniformly bounded on  $J_{m_j+1}^f \cup \widehat{\Delta}_{m_j}^f$  (or just on  $J_{m_j+1}^f$ , depending on whether  $m_j$  is or is not a two-bridges level).

With these three facts at hand, we estimate the distance between  $\varphi_L^*(x^*)$  and  $\psi_L^*(y^*)$  as follows:

$$\begin{aligned} &|\varphi_L^*(x^*) - \psi_L^*(y^*)| \\ &\leq |\varphi_L^*(x^*) - \varphi_L^*(y^*)| + |\varphi_L^*(y^*) - \psi_L^*(y^*)| \leq K |x^* - y^*| + C_9 a_{m_L+1}^3 \mu_2^{m_L} \\ &\leq K C_8 \mu_2^m + C_9 a_{m_L+1}^3 \mu_2^{m_L}. \end{aligned}$$

In the same way, we estimate now the distance between  $\varphi_{L-1}^*(\varphi_L^*(x^*))$  and  $\psi_{L-1}^*(\psi_L^*(y^*))$

$$\begin{aligned} &|\varphi_{L-1}^*(\varphi_L^*(x^*)) - \psi_{L-1}^*(\psi_L^*(y^*))| \\ &\leq K |\varphi_L^*(x^*) - \psi_L^*(y^*)| + |\varphi_{L-1}^*(\psi_L^*(y^*)) - \psi_{L-1}^*(\psi_L^*(y^*))| \\ &\leq K^2 C_8 \mu_2^m + K C_9 a_{m_L+1}^3 \mu_2^{m_L} + C_9 a_{m_{L-1}+1}^3 \mu_2^{m_{L-1}}. \end{aligned}$$

Proceeding inductively, we obtain

$$\begin{aligned} |v^* - w^*| &= |\varphi_1^* \circ \dots \circ \varphi_L^*(x^*) - \psi_1^* \circ \dots \circ \psi_L^*(y^*)| \\ &\leq C_{10} \left( K^L \mu_2^m + \sum_{j=1}^{j=L} K^{j-1} a_{m_j+1}^3 \mu_2^{m_j} \right) \\ &\leq C_{10} K^p \left( \mu_2^m + \sum_{j=1}^{j=L} a_{m_j+1}^3 \mu_2^{m_j} \right). \end{aligned}$$

By condition (2) in Definition 2.3, we have  $\lim_{j \rightarrow \infty} (a_j^3)^{1/j} = 1$ . Therefore, defining  $\varepsilon > 0$  by  $(1 + \varepsilon)\sqrt{\mu_2} = 1$ , there exists a constant  $C_{11} = C_{11}(\varepsilon) > 0$ , such that  $a_j^3 < C_{11} (1 + \varepsilon)^j$  for all  $j \in \mathbb{N}$ . Hence

$$a_{j+1}^3 \mu_2^j < C_{11} (1 + \varepsilon)^{j+1} \mu_2^j = C_{11} \frac{1}{\sqrt{\mu_2}} \left( \frac{\mu_2}{\sqrt{\mu_2}} \right)^j = C_{12} (\sqrt{\mu_2})^j.$$

Defining  $\mu_* \in (0, 1)$  as  $\mu_* = \sqrt{\mu_2} = \max\{\mu^{1/2d}, \sqrt{\mu_1}\}$ , we have

$$\begin{aligned} |v^* - w^*| &\leq C_{10} K^p \left( \mu_2^m + \sum_{j=1}^{j=L} a_{m_j+1}^3 \mu_2^{m_j} \right) \leq C_{10} K^p \left( \mu_*^m + C_{12} \sum_{j=1}^{j=L} \mu_*^{m_j} \right) \\ &\leq C_{13} K^p \left( \mu_*^m + \sum_{j=n}^{+\infty} \mu_*^j \right) = C_{13} K^p \left( \mu_*^m + \frac{\mu_*^n}{1 - \mu_*} \right) \leq C_{14} K^p \mu_*^n. \end{aligned}$$

Combining this with hypothesis (5.1), we finally obtain

$$\begin{aligned} |v - w| &= |B_{n,f}^{-1}(v^*) - B_{n,g}^{-1}(w^*)| \leq |I_n^f| |v^* - w^*| + \left| |I_n^f| - |I_n^g| \right| |w^*| \\ &\leq |I_n^f| C_{14} K^p \mu_*^n + |I_n^f| \left| 1 - \frac{|I_n^g|}{|I_n^f|} \right| \max\{1, s_n^g\} \\ &\leq |I_n^f| (C_{14} K^p \mu_*^n + C \max\{1, s_n^g\} \mu^n) \leq C_{15} K^p |J_n^f| \mu_*^n. \end{aligned}$$

This finishes the proof of Lemma 5.1. □

We consider now the fine grid constructed in Sect. 4, to establish the final estimates needed for the proof of Theorem B. Following [7, page 367], we define the *level* of an interval  $I \in \mathcal{Q}_n$ , denoted  $\ell(I)$ , as the largest  $m \leq n$  such that  $I$  is contained in an element of  $\widehat{\mathcal{P}}_m$ . Theorem B will be a straightforward consequence of Proposition 4.1 and the following two lemmas, which are [7, Lemma 4.12] and [7, Lemma 4.13], respectively.

**Lemma 5.6** *If  $\mathcal{Q}_n$  contains an interval of level  $m$ , then*

$$n \leq C_0 \sum_{j=1}^m \log(1 + a_j)$$

for some constant  $C_0 > 0$ . In particular, if  $\rho(f)$  satisfies condition (1) in Definition 2.3, then  $m \geq c_1 n$ , for some constant  $0 < c_1 < 1$  that depends only on  $\rho(f)$ .

We omit the proof of Lemma 5.6, being the same as in [7, Lemma 4.12].

**Lemma 5.7** *If  $\rho(f)$  satisfies conditions (2) and (3) in Definition 2.3, there exists  $\beta \in (0, 1)$  with the following property. If  $L$  and  $R$  are adjacent intervals of  $\mathcal{Q}_n$  with  $\ell(L) \geq m$  and  $\ell(R) \geq m$ , then*

$$\left| \frac{|L|}{|R|} - \frac{|h(L)|}{|h(R)|} \right| \leq C \beta^m,$$

where the constant  $C > 0$  only depends on the real bounds.

**Proof of Lemma 5.7** Let us write  $m = k + p$  with  $p = \lfloor \sigma k \rfloor$ , where  $\sigma > 0$  is a small constant (to be determined along the proof). Let us assume that  $L \cup R$  is contained in a single atom  $\Delta$  of  $\widehat{\mathcal{P}}_k$ . There are three cases to consider.

- (1)  $L \cup R \subset J_k^f(c_0)$ . Let  $v_1, v_2, v_3$  be the endpoints of  $L$  and  $R$ , respectively, and let  $w_1, w_2, w_3$  be the endpoints of  $h(L)$  and  $h(R)$ , respectively. By the triangle inequality

$$\begin{aligned} \left| \frac{|L|}{|R|} - \frac{|h(L)|}{|h(R)|} \right| &= \left| \frac{|v_1 - v_2|}{|v_2 - v_3|} - \frac{|w_1 - w_2|}{|w_2 - w_3|} \right| \\ &\leq \left| \frac{|v_1 - v_2|}{|v_2 - v_3|} - \frac{|w_1 - w_2|}{|v_2 - v_3|} \right| + \left| \frac{|w_1 - w_2|}{|v_2 - v_3|} - \frac{|w_1 - w_2|}{|w_2 - w_3|} \right| \\ &\leq \frac{|v_1 - w_1| + |v_2 - w_2|}{|v_2 - v_3|} + \frac{|w_1 - w_2|}{|w_2 - w_3|} \frac{|w_2 - v_2| + |w_3 - v_3|}{|v_2 - v_3|} \\ &= \frac{|v_1 - w_1| + |v_2 - w_2|}{|R|} + \frac{|h(L)|}{|h(R)|} \frac{|w_2 - v_2| + |w_3 - v_3|}{|R|}. \end{aligned} \tag{5.3}$$

We claim that  $|h(L)|/|h(R)|$  is (universally) bounded away from zero and infinity. Indeed, note first that, again by the triangle inequality

$$\frac{|L| - \sum_{i=1}^{i=2} |v_i - w_i|}{|R| + \sum_{i=2}^{i=3} |v_i - w_i|} \leq \frac{|h(L)|}{|h(R)|} \leq \frac{|L| + \sum_{i=1}^{i=2} |v_i - w_i|}{|R| - \sum_{i=2}^{i=3} |v_i - w_i|}.$$

By Proposition 5.1, we have

$$\frac{|L|}{|R|} \frac{1 - 2 C_1 \frac{|J_k^f(c_0)|}{|L|} \mu_1^k}{1 + 2 C_1 \frac{|J_k^f(c_0)|}{|R|} \mu_1^k} \leq \frac{|h(L)|}{|h(R)|} \leq \frac{|L|}{|R|} \frac{1 + 2 C_1 \frac{|J_k^f(c_0)|}{|L|} \mu_1^k}{1 - 2 C_1 \frac{|J_k^f(c_0)|}{|R|} \mu_1^k}.$$

From Lemma 4.16 and condition (3) in Definition 2.3, we obtain

$$\frac{|J_k^f(c_0)|}{|L|} \leq M^p (a_{k+1} a_{k+2} \dots a_{k+p})^2 \leq M^p \exp(2p \omega(p/k)),$$



and the same estimate replacing  $L$  with  $R$ . Let  $\beta_1 = (e^{2\sigma\omega(\sigma)} M^\sigma \mu_1)^{1/(2+\sigma)}$ , and note that  $\beta_1 \in (0, 1)$  by taking  $\sigma > 0$  small enough. Then, we have

$$\frac{|L|}{|R|} \frac{1 - 2 C_1 \beta_1^m}{1 + 2 C_1 \beta_1^m} \leq \frac{|h(L)|}{|h(R)|} \leq \frac{|L|}{|R|} \frac{1 + 2 C_1 \beta_1^m}{1 - 2 C_1 \beta_1^m}.$$

By Property (6) of the auxiliary partitions (Sect. 4.1), we finally deduce that the ratio  $|h(L)|/|h(R)|$  is bounded, as claimed. With this at hand, and using again Proposition 5.1, we deduce from (5.3) that

$$\left| \frac{|L|}{|R|} - \frac{|h(L)|}{|h(R)|} \right| \leq \frac{C_2 |J_k^f(c_0)| \mu_1^k}{|R|},$$

and then

$$\left| \frac{|L|}{|R|} - \frac{|h(L)|}{|h(R)|} \right| \leq C_2 \beta_1^m.$$

- (2)  $L \cup R \subset J_k^f(c_1)$ . As explained right after its proof (see Remark 5.2), Proposition 5.1 holds in  $J_n^f(c_1)$ . Then, we proceed just as in the previous case, using also Lemma 4.16 (note here that  $|\Delta| \asymp |J_k^f(c_1)|$ , as it follows from Corollary 4.3) and Property (6) of the auxiliary partitions in the same way.
- (3)  $L \cup R$  is not contained in  $J_k^f(c_0) \cup J_k^f(c_1)$ . Let  $\Delta^*$  be the union of  $\Delta$  with its left and right neighbours in the auxiliary partition  $\widehat{\mathcal{P}}_k$ . Let  $j < q_{k+1}$  be such that  $f^j|_{\Delta^*}$  is a diffeomorphism with  $f^j(\Delta) \subset J_k^f(c_i)$ , either for  $i = 0$  or  $i = 1$ . By the previous cases

$$\left| \frac{|f^j(L)|}{|f^j(R)|} - \frac{|h(f^j(L))|}{|h(f^j(R))|} \right| \leq C_2 \beta_1^m. \tag{5.4}$$

By Koebe’s principle combined with Corollary 4.4, we deduce in the standard way that the distortion of  $f^j|_{L \cup R}$  is bounded by  $e^{C_3 \mu^p}$ . Therefore, defining  $\mu_3 = \mu^{\sigma/(2+\sigma)} \in (0, 1)$ , we obtain

$$\left| \frac{|f^j(L)|}{|f^j(R)|} - \frac{|L|}{|R|} \right| \leq C_4 \mu^p \leq C_4 \mu_3^m. \tag{5.5}$$

In the same way, but replacing  $f$  by  $g$ , we obtain

$$\left| \frac{|g^j(h(L))|}{|g^j(h(R))|} - \frac{|h(L)|}{|h(R)|} \right| \leq C_5 \mu_3^m. \tag{5.6}$$

By putting together (5.4), (5.5) and (5.6), we finally obtain

$$\left| \frac{|h(L)|}{|h(R)|} - \frac{|L|}{|R|} \right| \leq C_6 \beta_2^m,$$

where  $C_6 = C_2 + C_4 + C_5$  and  $\beta_2 = \max\{\mu_3, \beta_1\}$ .

□

**Proof of Theorem B**

Let  $\{\mathcal{Q}_n\}_{n \in \mathbb{N}}$  be the fine grid constructed in Sect. 4, and let  $h$  be the topological conjugacy considered in Theorem B. Let  $c_1 \in (0, 1)$  be given by Lemma 5.6, and let  $C > 0$  and  $\beta \in (0, 1)$  be given by Lemma 5.7. Then, we just apply Proposition 4.1, with  $C$  and  $\lambda = \beta^{c_1}$ , to deduce that the conjugacy  $h$  is a  $C^{1+\alpha}$  diffeomorphism.

**Remark 5.8** As mentioned in the introduction, the statement of Theorem A is most likely true for multicritical circle maps with any number of critical points, and we believe that it should be possible to adapt the proof of Theorem B, developed in Sects. 4 and 5, to the multicritical case. To be more precise, let  $f$  be a  $C^3$  circle homeomorphism with  $N \geq 2$  critical points  $\{c_0, c_1, \dots, c_{N-1}\}$  (all of them being non-flat) and with irrational rotation number  $\rho \in \mathcal{A}$  (recall Definition 2.3). For any given  $n \in \mathbb{N}$ , let  $N_n \in \{0, \dots, N - 1\}$  be the number of critical points of  $f^{q_{n+1}}$  that belong to  $I_n(c_0) \setminus I_{n+2}(c_0)$ . Consider the (ordered) set

$$\{1 \leq j_1 < \dots < j_{N_n} \leq a_{n+1}\},$$

where, for each  $i \in \{1, \dots, N_n\}$ , the index  $j_i$  is defined by the condition that the fundamental domain

$$\Delta_{j_i} = f^{(j_i-1)q_{n+1}+q_n}(I_{n+1}(c_0))$$

contains a critical point, say  $c_n(i)$ , of  $f^{q_{n+1}}$ . To build auxiliary partitions (recall Sect. 4.1), let

$$\begin{aligned} \widehat{\Delta}_n(0) &= \Delta_1 = f^{q_n}(I_{n+1}(c_0)), & \widehat{\Delta}_n(N_n + 1) &= \Delta_{a_{n+1}} \\ &= [f^{q_{n+2}}(c_0), f^{(a_{n+1}-1)q_{n+1}+q_n}(c_0)], \end{aligned}$$

and consider for each  $i \in \{1, \dots, N_n\}$  the fundamental domain

$$\widehat{\Delta}_n(i) = [f^{q_{n+1}}(c_n(i)), c_n(i)].$$

Just as we did in Sect. 4.1.1, spread each of these intervals under  $f^{q_{n+1}}$ , both forward and backwards, until it meets the corresponding iterates of the next and the previous one,  $\widehat{\Delta}_n(i + 1)$  and  $\widehat{\Delta}_n(i - 1)$ , respectively. With this at hand, it should be possible

to build balanced decompositions of bridges and fine grids from the auxiliary partitions, adapting the construction developed in Sect. 4.2. Moreover, it is reasonable to expect that all geometric estimates of both Sects. 4 and 5, that rely heavily on the real bounds (Theorem 2.2), Koebe's distortion principle (see Remark 4.10), and Yoccoz's lemma 4.11, hold in the same way as for the bicritical case. Note, finally, that the criterion for smoothness given by Proposition 4.1 is quite general, thus independent of the number of critical points of the circle maps referred in the statement of Theorem B.

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