



Relations Between Escape Regions in the Parameter Space of Cubic Polynomials

Araceli Bonifant¹ · Chad Estabrooks² · Thomas Sharland¹ 

Received: 2 March 2022 / Revised: 10 June 2022 / Accepted: 20 June 2022 /

Published online: 22 July 2022

© Institute for Mathematical Sciences (IMS), Stony Brook University, NY 2022

Abstract

We describe a topological relationship between slices of the parameter space of cubic maps. In the paper [9], Milnor defined the curves \mathcal{S}_p as the set of all cubic polynomials with a marked critical point of period p . In this paper, we will describe a relationship between the boundaries of the connectedness loci in the curves \mathcal{S}_1 and \mathcal{S}_2 .

Keywords Cubic polynomials · Escape regions · Tessellations · External rays · Basilica angles

Mathematics Subject Classification Primary 37F10

1 Introduction

The study of parameter spaces of polynomials is of fundamental importance in complex dynamics. The Orsay notes [5] contained, amongst other things, a detailed study of the space of quadratic polynomials. Since then, mathematicians have also turned their attention to other spaces of polynomials. In particular, researchers including Branner, Hubbard, Milnor, Roesch and Tan (among many others) have endeavored to understand the space of cubic polynomials.

✉ Thomas Sharland
tsharland@uri.edu

Araceli Bonifant
bonifant@uri.edu

Chad Estabrooks
chadestabrooks@bac.edu

¹ Department of Mathematics and Applied Mathematical Sciences, University of Rhode Island, Kingston, RI, USA

² Department of Mathematics and Physics, Belmont Abbey College, Belmont, NC, USA

This present paper is a modest step in that direction. It reports the main results of the thesis of the second author [6], and looks at the boundary of the connectedness locus $\mathcal{C}(\mathcal{P}(3))$ of cubic polynomials. A main tool for this analysis are the tessellations considered by Milnor and the first author in [2], which themselves are partially inspired by the puzzles (originally called patterns) discussed in [4] by Branner and Hubbard. In this paper, they remarked that knowledge of the escape regions may “provide tools for creeping up to the cubic connectedness locus”. The main result of this work is to prove that the conformal isomorphism between escape regions in the parameter space of cubic polynomials can be extended (in a special case) to their boundaries.

2 Preliminaries

We quickly state some standard definitions from Complex Dynamics. For a more detailed treatment, the reader is encouraged to refer to [8]. Recall that a rational map F on the Riemann sphere divides the sphere into two disjoint sets. The *Julia set* $J(F)$, the closure of the set of periodic repelling points, is where the dynamics is “chaotic” and its complement, the *Fatou set* is where the dynamics is tame. A connected component of the Fatou set is called a Fatou component.

2.1 Notation

We quickly present the notation we will use in the paper. The external (respectively, internal) ray of angle t for a map F will be denoted by $r_e^F(t)$ (respectively, $r_i^F(t)$); its landing point is denoted by $\lambda_e^F(t)$ (respectively $\lambda_i^F(t)$). We will sometimes drop the superscript if it is clear which map is being referred to. In the parameter space, the external (respectively, internal) ray of angle t is denoted by $R_e(t)$ (respectively, $R_i(t)$) and its landing point is $\Lambda_e(t)$ (respectively, $\Lambda_i(t)$). Thus we use lower case for the dynamical objects and upper case for the parameter objects. If $f_a \in \mathcal{S}_1$, the immediate basin of the fixed critical point is denoted by $\widehat{\mathcal{A}}_a$.

2.2 Cubic Polynomials

Following Milnor [9], we may parameterize the space of cubic polynomials by the pairs $(a, v) \in \mathbb{C}^2$ such that

$$f = f_{a,v}(z) = z^3 - 3a^2z + 2a^3 + v.$$

Here the polynomial $f_{a,v}$ has critical points at a and $-a$. Milnor observed that it is helpful to divide this parameter space up into “slices”. Of particular interest are the slices \mathcal{S}_p , polynomials for which the (marked) critical point a has exact period p under iteration, while $-a$ is the “free” critical point. The point $2a$ has the same image under $f_{a,v}$ as the critical point $-a$; we call $2a$ the *cocritical* point.

There are four types of hyperbolic components in the connectedness locus. A hyperbolic component is called

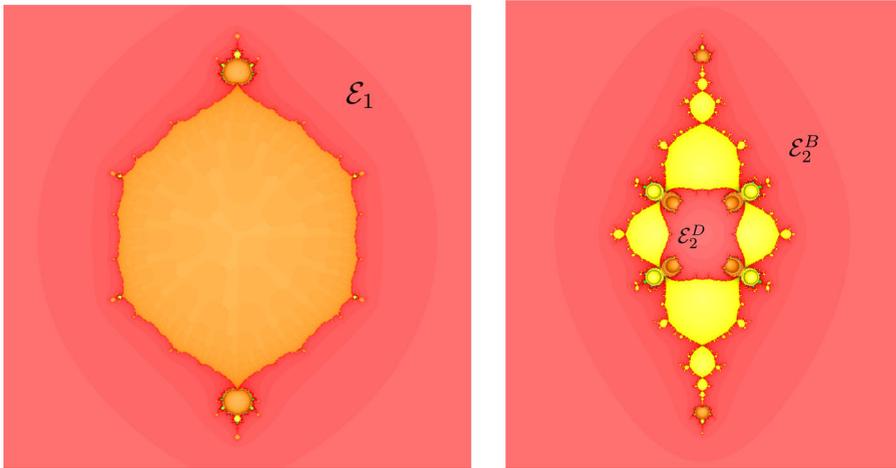


Fig. 1 Connectedness loci and escape regions (red) in the curves \mathcal{S}_1 and \mathcal{S}_2 (left and right, respectively) shown side by side. The principal hyperbolic component $\widehat{\mathcal{H}}_0$ in \mathcal{S}_1 is the large orange lemon-shaped region in the middle of the image. The escape regions have been labelled, and the coloring of the hyperbolic components indicates the periods of the free critical points for maps in that component. The most prominent colors are orange (period 1) and yellow (period 2)

- *Type A* (Adjacent) if both critical points belong to the same periodic Fatou component.
- *Type B* (Bitransitive) if the two critical points belong to different Fatou components in the same periodic cycle.
- *Type C* (Capture) if one critical point belongs to a periodic cycle of Fatou components, and the second critical point eventually lands in this cycle.
- *Type D* (Disjoint) if the polynomial has two distinct attracting periodic orbits, both of which attracts one critical point.

It is easy to see that one may parameterize the space \mathcal{S}_1 by a single complex parameter a , since then $f_a = f_{a,a}$ has a fixed critical point at a . There is a unique type *A* component in \mathcal{S}_1 (see Fig. 1), which is called the *principal hyperbolic component* and denoted by $\widehat{\mathcal{H}}_0$.

2.3 Escape Regions

Following [1], we define an escape region to be a connected component of $\mathcal{S}_p \setminus \mathcal{C}(\mathcal{S}_p)$, where $\mathcal{C}(\mathcal{S}_p) = \mathcal{S}_p \cap \mathcal{C}(\mathcal{P}(3))$. We will be interested in two particular escape regions: the unique escape region \mathcal{E}_1 in \mathcal{S}_1 and the basilica escape region \mathcal{E}_2^B in \mathcal{S}_2 (Fig. 1). For a polynomial $f \in \mathcal{E}_1$, all components of the filled Julia set which are not points are quasidisks. Similarly, in \mathcal{E}_2^B , all non-point components of the filled Julia set of a polynomial are quasiconformal copies of the Julia set for the “basilica” map $z \mapsto z^2 - 1$ (see Sect. 3). There is another escape region \mathcal{E}_2^D in \mathcal{S}_2 , where all non-point components of the filled Julia set are quasidisks.

Let $f = f_{a,v} \in \mathcal{S}_p$. From Böttcher’s theorem (see e.g [8, Theorem 9.1]), there is a maximal neighborhood V of ∞ and a corresponding minimal $r \geq 1$ such that the map

$$\mathfrak{B}_f : \widehat{\mathbb{C}} \setminus \overline{V} \rightarrow \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}_r,$$

conjugates f to the map $z \mapsto z^3$. Adding the requirement that \mathfrak{B}_f is tangent to the identity near ∞ , there is a unique such map. Given an escape region \mathcal{E} of multiplicity one¹, we may then define the conformal isomorphism

$$\Phi_{\mathcal{E}} : \mathcal{E} \rightarrow \mathbb{C} \setminus \overline{\mathbb{D}}$$

by $\Phi_{\mathcal{E}}(f) = \mathfrak{B}_f(2a_f)$. Observe that these maps $\Phi_{\mathcal{E}}$ provide a “coordinate system” on \mathcal{E} : we denote by $(\rho, t)_{\mathcal{E}}$ the polynomial f in \mathcal{E} for which $\Phi_{\mathcal{E}}(f) = \rho e^{2\pi i t}$.

2.4 Tessellations of \mathcal{S}_p

For some $q \in \mathbb{N}$, let $A_q \subset \mathbb{Q}/\mathbb{Z}$ be the set of all arguments periodic of period q under tripling. Such arguments have the form

$$\frac{m}{3^q - 1}$$

with q minimal. We will say an argument t is coperiodic (of period q) if one of $t + \frac{1}{3}$ or $t - \frac{1}{3}$ belongs to A_q .

The following definition comes from [2].

Definition 2.1 Let $q \in \mathbb{N}$. We construct the period q tessellation $\mathbf{Tes}_q(\overline{\mathcal{S}}_p)$ of $\overline{\mathcal{S}}_p$ as follows. The collection of all coperiod q parameter rays in \mathcal{S}_p decomposes \mathcal{S}_p into a finite number of open sets \mathcal{F}_k , which we call the faces of the tessellation. The edges of the tessellation are the parameter rays of coperiod q , while the vertices split into two types: parabolic vertices are the (parabolic) landing points of the coperiodic rays and the ideal points are the elements of $\overline{\mathcal{S}}_p \setminus \mathcal{S}_p$.

Of particular importance will be the tessellation $\mathbf{Tes}_2(\overline{\mathcal{S}}_2)$.

Given a tessellation $\mathbf{Tes}_q(\overline{\mathcal{S}}_p)$, we may write

$$\mathbf{Tes}_q(\overline{\mathcal{S}}_p) = \left(\mathbf{Tes}_q^{(0)}(\overline{\mathcal{S}}_p), \mathbf{Tes}_q^{(1)}(\overline{\mathcal{S}}_p), \mathbf{Tes}_q^{(2)}(\overline{\mathcal{S}}_p) \right)$$

where $\mathbf{Tes}_q^{(0)}(\overline{\mathcal{S}}_p)$ is the set of vertices, $\mathbf{Tes}_q^{(1)}(\overline{\mathcal{S}}_p)$ is the set of edges and $\mathbf{Tes}_q^{(2)}(\overline{\mathcal{S}}_p)$ is the set of faces of $\mathbf{Tes}_q(\overline{\mathcal{S}}_p)$.

We will also need the notion of an orbit portrait from [2] (Compare [7]).

¹ All escape regions considered in this paper are of multiplicity 1. For more details, refer to [1].

Definition 2.2 Let $F \in \mathcal{S}_p$ be such that every ray of period q lands at a (necessarily periodic) point of $J(F)$. We define an equivalence relation on the set of angles which have period q under tripling as follows. Two such angles ϕ and ψ are equivalent if and only if the dynamical rays $r_e(\phi)$ and $r_e(\psi)$ have a common landing point in $J(F)$. This equivalence relation will be called the (period q) orbit portrait of F .

Note that the orbit portrait is well-defined unless the map F belongs to a parameter ray of coproduct q . In particular, it is well-defined for all faces of $\mathbf{Tes}_q(\overline{\mathcal{S}_p})$ and so is well-defined on the connectedness locus $\mathcal{C}(\mathcal{S}_p)$. In fact we have the following.

Theorem 2.3 [2, Theorem 3.12] *Let \mathcal{F}_k be a face of $\mathbf{Tes}_q(\overline{\mathcal{S}_p})$. Then for each $F \in \mathcal{F}_k$ and each angle θ_0 which is periodic of period q under tripling, the dynamical ray $r_e^F(\theta_0)$ lands at a repelling periodic point $z(F) \in J(F)$. Furthermore the correspondence $F \mapsto z(F)$ defines a holomorphic function $z: \mathcal{F}_k \rightarrow \mathbb{C}$. The period q orbit portrait is the same for all maps $F \in \mathcal{F}_k$.*

2.5 Conformal Isomorphisms Between Escape Regions

We will make use of the conformal isomorphisms

$$\Phi_1 = \Phi_{\mathcal{E}_1} : \mathcal{E}_1 \rightarrow \mathbb{C} \setminus \overline{\mathbb{D}} \quad \text{and} \quad \Phi_2 = \Phi_{\mathcal{E}_2^B} : \mathcal{E}_2^B \rightarrow \mathbb{C} \setminus \overline{\mathbb{D}},$$

which are defined by mapping parameter rays to radial lines of corresponding argument. The composition

$$\Psi = \Phi_2^{-1} \circ \Phi_1 : \mathcal{E}_1 \rightarrow \mathcal{E}_2^B$$

is then a conformal isomorphism between the two escape regions. Writing $(\rho, t)_1 = (\rho, t)_{\mathcal{E}_1}$ and $(\rho, t)_2 = (\rho, t)_{\mathcal{E}_2^B}$, we have in particular that $\Psi((\rho, t)_1) = (\rho, t)_2$. We will show that there exists a continuous extension $\widehat{\Psi}$ of Ψ , defined on the boundary points of the type A and C components of \mathcal{S}_1 which are the landing points of parameter rays of rational angle. We are interested in the points at which $\widehat{\Psi}$ is not injective.

Our main result is the following.

Main Theorem *The map $\widehat{\Psi}$ is injective at all points x except for the following.*

- *The point x lies on the boundary of a type C component and has internal argument which is a basilica angle.*
- *The point x lies on the boundary of $\widehat{\mathcal{H}}_0$ and has internal argument which is a basilica angle distinct from $\frac{1}{3}$ or $\frac{2}{3}$.*

See Sect. 3 for the definition of a basilica angle. We remark that it is not immediate that the map $\widehat{\Psi}$ is well-defined. Indeed, the analogous map from \mathcal{E}_1 to \mathcal{E}_2^D (the escape region of \mathcal{S}_2 associated to the disk, see [1]) has no continuous extension to the boundary of the type A and C components of \mathcal{S}_1 . We prove that $\widehat{\Psi}$ is well-defined in Sect. 4 and prove the Main Theorem in Sect. 5. See Fig. 2 for an illustration of the main theorem.

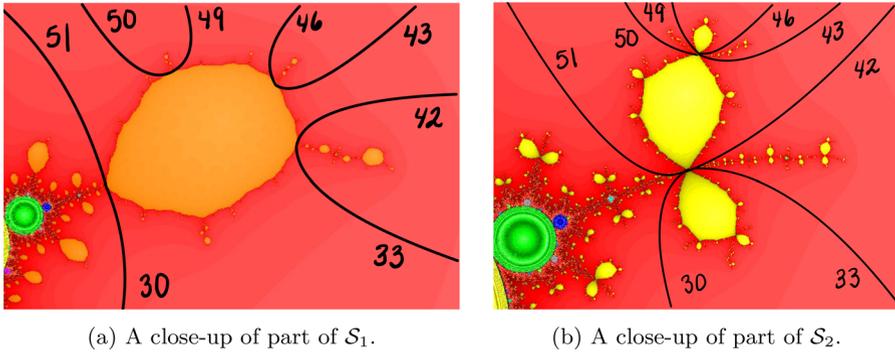


Fig. 2 An example of the result of the Main Theorem, with some important parameter rays marked. As with many of the figures in this paper, the rays are hand-drawn approximations to the true rays. Observe that the (orange) type C components in S_1 are collapsed down to (homeomorphic) copies of the basilica in S_2 (the components of which are yellow). Only the numerator or each angle is given; the common denominator is 648

2.5.1 Laminations

We will also make use of the notion of a *lamination*, originally constructed by Thurston [11] to study the dynamics of rational maps on the sphere. A lamination is a set of chords (called *leaves* of the lamination) in the closed unit disk satisfying the following. Let L be the collection of leaves in a lamination.

- The leaves are pairwise unlinked: that is, two different elements of L are disjoint, except possibly at their endpoints;
- the union of L is closed.

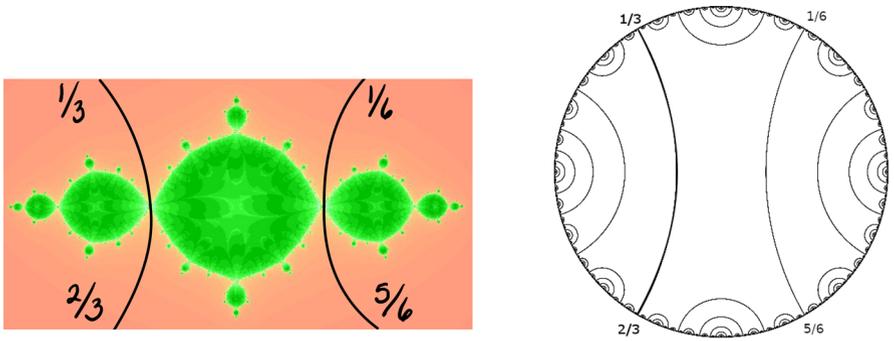
A *gap* is the closure of the component of the complement of $\bigcup L$ in the closed unit disk. One can use laminations to model the structure of Julia sets of a polynomial p : two points s and t are identified in the lamination if and only if $\lambda_e^p(s) = \lambda_e^p(t)$. One may draw a lamination by drawing chords in the disk: the chord \overline{st} is drawn in if and only if s is identified with t in the lamination, see Fig. 3.

3 The Basilica Polynomial

In this section we collect together results on the basilica polynomial $z \mapsto z^2 - 1$. These will be important when we start discussing the structure of the Julia sets in \mathcal{E}_2^B in Sect. 4. We begin with a simple fact about how the rays land on the Julia set of the basilica.

Lemma 3.1 *Let $t \in \mathbb{R}/\mathbb{Z}$ and suppose there exists $n \in \mathbb{N}$ such that $2^n t \equiv \frac{1}{3}$. Then there is a unique $\tilde{t} \in \mathbb{R}/\mathbb{Z}$, $\tilde{t} \neq t$, such that the external rays of angles t and \tilde{t} land at the same point of the Julia set of the basilica.*

The proof follows from Proposition 4.3 of [10]. This allows us to introduce the notion of a basilica angle.



(a) The Julia set of the basilica polynomial $z \mapsto z^2 - 1$.

(b) The lamination of the basilica

Fig. 3 The Julia set of the basilica and its associated lamination

Definition 3.2 An argument $t \in \mathbb{R}/\mathbb{Z}$ will be called a basilica angle if there is $n \in \mathbb{N}$ such that $2^n t \equiv \frac{1}{3} \pmod{1}$. Equivalently, t is a basilica angle if the external ray of angle t for the basilica lands at a biaccessible point (that is, a point at which more than one external ray lands). If $s \neq t$ and the rays of angles s and t have the same landing point on the basilica, we say $\{s, t\}$ is a basilica pair.

We now want to transport the well-known facts about the basilica to polynomials belonging to the basilica escape region.

Lemma 3.3 For a map $F = (r, t)_2^B \in \mathcal{E}_2^B$, there is a unique fixed point α in J on the boundary of the Fatou components containing the marked critical point a , and its associated critical value v .

Proof There is a neighborhood U of the main basilica component of $K(F)$ such that the restriction of F to U is hybrid equivalent to the basilica map $z \mapsto z^2 - 1$. \square

In particular, every polynomial in \mathcal{E}_2^B has a distinguished fixed point. We will refer to this distinguished fixed point as α (Fig. 4).

4 Preliminary Results

In this section, we build a portfolio of results that will allow us to tackle the proof of the main theorem in Sect. 5.

4.1 Behavior of the Conformal Isomorphism Ψ

We would like to now describe the relationship between $J(f)$ and $J(\Psi(f))$ for $f \in \mathcal{S}_1$. Let $f \in \mathcal{E}_1 \cup \mathcal{E}_2^B$. Since $f \in R_e(t)$ if and only if $r_e(t)$ lands at the cocritical point of f , we see that the rays of angle $t - \frac{1}{3}$ and $t + \frac{1}{3}$, along with their landing point $-a$, split the plane into two regions. We denote these regions by U_0 , which contains the

Fig. 4 The filled Julia set of a map in \mathcal{E}_2^B showing the placement of the fixed point α

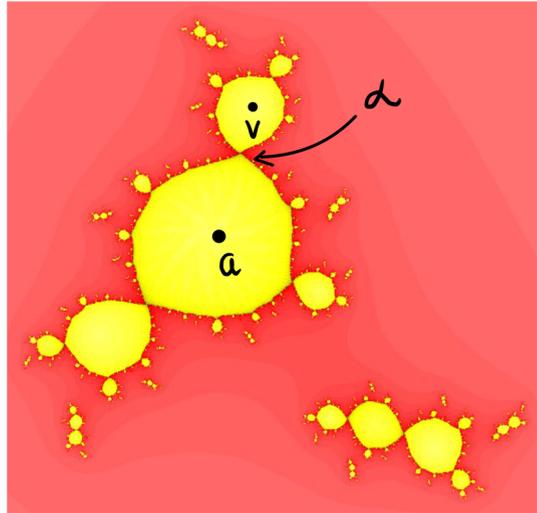
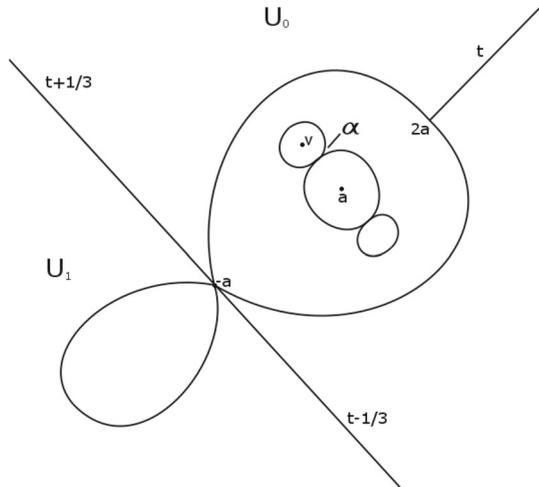


Fig. 5 An illustration of a typical boundary of the maximal domain of definition of the Böttcher coordinate for a map on the external parameter ray of argument t , with important dynamical external rays marked. The same applies to a map in \mathcal{E}_1 with a disc in place of the main basilica component illustrated



critical point a , and U_1 . Now observe that any ray landing on the main component of the filled Julia set $K(f)$ must have argument in the arc $(t - \frac{1}{3}, t + \frac{1}{3})$ (see Fig. 5), and so must be contained in U_0 . We state this as a lemma.

Lemma 4.1 *Any pair of rays landing at α for a map $(\rho, t)_2^B$ must have both arguments in $(t - \frac{1}{3}, t + \frac{1}{3})$.*

Lemma 4.2 *At most four period two rays could possibly land at α for any $F \in \mathcal{E}_2^B$.*

Proof Any arc containing all six period two arguments will necessarily have length greater than $\frac{2}{3}$. Therefore, by Lemma 4.1, at least one pair must always be excluded. \square

We now show that any period 2 ray that enters U_0 must land on α . To do this, we will decompose \mathcal{E}_2^B into regions depending on how many pairs of rays belong to U_0 .

Definition 4.3 We will define the set $W := \bigcup_{i=1,2,3,4} W_i$, where W_1 will consist of all maps in \mathcal{E}_2^B on parameter rays of argument $t \in (\frac{1}{24}, \frac{2}{24})$, and W_2, W_3 , and W_4 are defined similarly with the intervals $(\frac{10}{24}, \frac{11}{24})$, $(\frac{13}{24}, \frac{14}{24})$, and $(\frac{22}{24}, \frac{23}{24})$, respectively, see Fig. 6.

The following result explains what happens on the boundary ∂W . Note that this boundary consists of eight parameter rays, all of which are coproperiodic of co-period two.

Lemma 4.4 *Suppose $F \in R_e(t) \subset \mathcal{E}_2^B$. Then all period two dynamic rays land on $J(F)$ if and only if $t \in \mathbb{R}/\mathbb{Z}$ is not coproperiodic of co-period two.*

Proof Suppose first that t is coproperiodic of coproperiod two. Then one of the dynamic rays $r_e(t + \frac{1}{3})$ and $r_e(t - \frac{1}{3})$ is a period two ray which crashes into the free critical point $-a$ and therefore does not land on $J(F)$. Conversely, suppose that there is a period two dynamic ray $r_e(\tilde{t})$ which does not land. Then there is a minimal $n \in \mathbb{N}$ such that $F^{-n}(-a) \in r_e(\tilde{t})$. Taking forward iterates, it then follows that one of the rays $r_e(\tilde{t})$ or $r_e(3\tilde{t})$, depending on the parity of n , crashes into the free critical point $-a$. This implies that $\tilde{t} \in \{t - \frac{1}{3}, t + \frac{1}{3}\}$ or $3\tilde{t} \in \{t - \frac{1}{3}, t + \frac{1}{3}\}$, and therefore that t is coproperiodic of co-period two by definition. \square

A simple combinatorial argument and the above lemma shows that for $F = (\rho, t) \in \mathcal{E}_2^B \setminus W$, exactly two period two rays are contained in U_0 . For example, if $t \in (\frac{23}{24}, \frac{1}{24})$ then only the period two rays of angle $2/8$ and $6/8$ can enter U_0 . On the other hand, if $F = (\rho, t) \in W$, then there are four period two rays entering U_0 . An analogous result holds in \mathcal{E}_1 . These are summarized in the following lemmas and illustrated in Fig. 6.

Lemma 4.5 *For $(\rho, t)_2^B \in \mathcal{E}_2^B \setminus W$, there is exactly one possible pair of period two rays contained in U_0 , and for $(\rho, t)_2^B \in W$, there are exactly two pairs of period two rays which are contained in U_0 .*

Lemma 4.6 *For $(\rho, t)_1 = \Psi^{-1}((\rho, t)_2^B)$, if $(\rho, t)_2^B \in \mathcal{E}_2^B \setminus W$, then there is exactly one pair of period two rays contained in U_0 , and if $(\rho, t)_2^B \in W$, then there are exactly two pairs of period two rays contained in U_0 .*

We now show that if $F \in \mathcal{E}_2^B$, then any pair of period two rays contained in U_0 must land on α . Similarly, if $F \in \mathcal{E}_1$, any pair of period two rays contained in U_0 must land on a period two cycle on the boundary of $\hat{\mathcal{A}}_a$.

Lemma 4.7 *For $(\rho, t)_2^B \in W$, let $\{t_1, t_2\}$ and $\{t_3, t_4\}$ be the two pairs of arguments of the rays contained in U_0 . Then we have $\lambda_e(t_i) = \alpha$ for $i = 1, 2, 3, 4$.*

Proof We just prove the result for W_1 , the other cases are similar. Choose any $(\rho, t)_2^B \in W_1$. For this map we may take $t_1 = \frac{1}{8}, t_2 = \frac{3}{8}, t_3 = \frac{2}{8},$ and $t_4 = \frac{6}{8}$. From Theorem

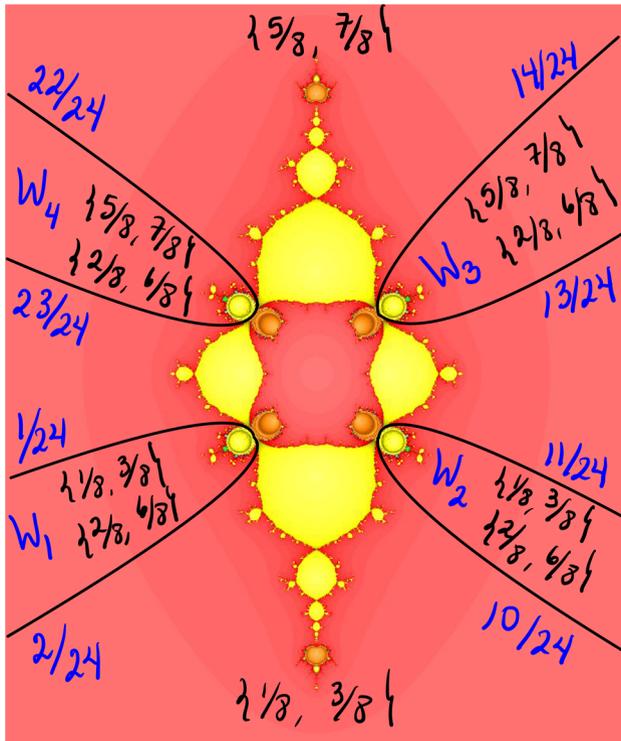


Fig. 6 The pairs of rays landing at α in each piece of the basilica escape region. Also labelled are the regions W_i given in Definition 4.3

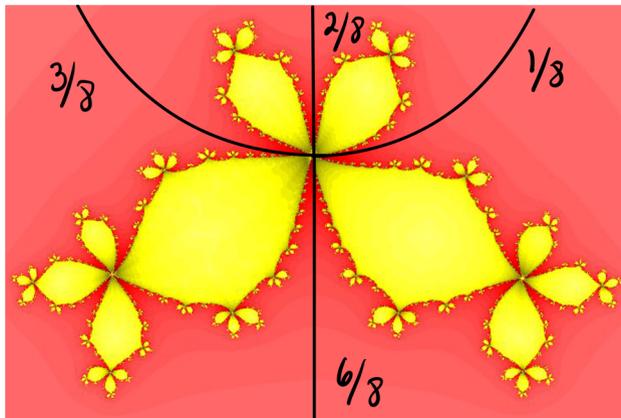


Fig. 7 The Julia set of the map at the center of the unique type D component of period two in W_1 . The period two external rays which land together are marked

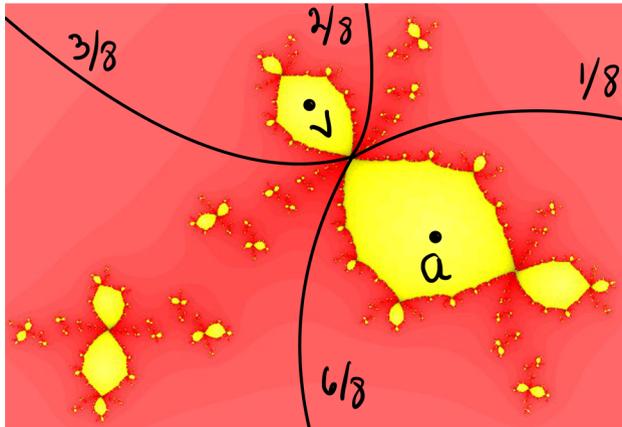


Fig. 8 A Julia set from W_1 showing the four rays landing at the fixed point α

2.3, we know that $(\rho, t)_2^B$ has the same period 2 orbit portrait as any other map which belongs to the same component \mathcal{F}_k of $\mathbf{Tes}_2(\mathcal{S}_2)$. In particular, we consider the unique post-critically finite polynomial p in the period two hyperbolic component in V_i , as in Fig. 7. For this map, the rays of arguments t_1, t_2, t_3 , and t_4 all land together at a common fixed point on the boundaries of the Fatou components containing the marked critical point a_F and its associated critical value v_F . Therefore, we conclude that for $(\rho, t)_2^B, \lambda_e(t_i) = \alpha$ for $i = 1, 2, 3, 4$. \square

We now observe that any pair of period two dynamic rays which land at the fixed point α in the Julia set of a map $\Psi(F) \in \mathcal{E}_2^B$ corresponds with a pair of dynamical external rays for the map $F \in \mathcal{E}_1$ which land at distinct points in a two cycle on the boundary of the main disc component of the filled Julia set of F (Fig. 8).

Lemma 4.8 *Given $F = (\rho, t)_1 \in \mathcal{E}_1$ and $\{s, \tilde{s}\} \in \mathbb{R}/\mathbb{Z}$ a two cycle under tripling for which $\lambda_e^{\Psi(F)}(s) = \lambda_e^{\Psi(F)}(\tilde{s}) = \alpha$ in $K(\Psi(F))$, we have $\lambda_e^F(s) \neq \lambda_e^F(\tilde{s})$ form a two cycle on $\partial\hat{\mathcal{A}}_a \in K(F)$.*

Proof There are two cases, depending on the number of rays landing on α . First, suppose $\Psi(F) \in \mathcal{E}_2^B \setminus W$, so that only two external rays for $\Psi(F)$ land on α . Then by Lemma 4.6 there is a unique period two cycle on $\partial\hat{\mathcal{A}}_a$ for F and these points must be $\lambda_e^F(s)$ and $\lambda_e^F(\tilde{s})$, since no other period two rays belong to U_0 .

For the second case, suppose $\Psi(F) \in W$, so that four external rays for $\Psi(F)$ land on α . We will assume $\Psi(F) \in W_1$; the other cases follow by a similar argument.

If $\Psi(F) \in W_1$, then by Theorem 2.3, the period two orbit portrait of $\Psi(F)$ is the same as that for the map at the center of the unique period 2 type D component in W_1 . The Julia set for this map is given in Fig. 7 - we observe in particular that the rays of angles $\frac{1}{8}, \frac{2}{8}, \frac{3}{8}$ and $\frac{6}{8}$ all land together for this map. Thus the rays of angles $\frac{1}{8}, \frac{2}{8}, \frac{3}{8}$ and $\frac{6}{8}$ for $\Psi(F)$ must all land together at the point α .

Again by Theorem 2.3, the period two orbit portrait of F is the same as that of any other map in the period two decomposition of \mathcal{S}_1 . In this component we have the $\frac{1}{8}$ and

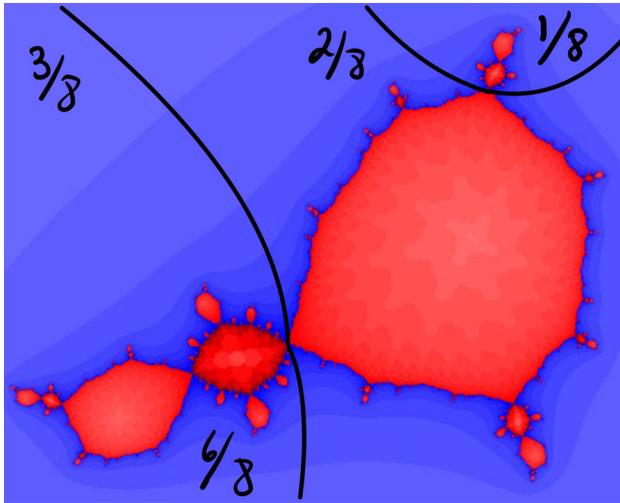


Fig. 9 The Julia set of a map from the component of the period two decomposition of \mathcal{S}_1 which contains the entire preimage under Ψ of $W_1 \subset \mathcal{S}_2$

$\frac{2}{8}$ rays landing at a common point, as well as the $\frac{3}{8}$ and $\frac{6}{8}$ rays as in Fig. 9. Therefore, we have the two cycle

$$\lambda_e^F \left(\frac{1}{8} \right) = \lambda_e^F \left(\frac{2}{8} \right) \longleftrightarrow \lambda_e^F \left(\frac{3}{8} \right) = \lambda_e^F \left(\frac{6}{8} \right) \in \partial \widehat{\mathcal{A}}_\alpha.$$

□

The following result provides a combinatorial description of the behavior of Ψ . Informally, this can be thought of as taking each of the disc components of the filled Julia set $K(F)$ for a map $F \in \mathcal{E}_1$, and replacing them with copies of the basilica in order to obtain a topological object which is homeomorphic to $K(\Psi(F))$. Later on we will extend this result to the boundary of \mathcal{E}_1 in order to describe the behavior of $\widehat{\Psi}$.

Lemma 4.9 *Given $F = (\rho, t)_1 \in \mathcal{E}_1$, $K(\Psi(F))$ is homeomorphic to the quotient of $K(F)$ obtained by making the identifications from the lamination of the basilica along the internal rays of each disc component of $K(F)$.*

Proof Consider a pair of period two rays $r_e(t), r_e(\tilde{t})$ in the same orbit landing together at the point $\alpha \in K(\Psi(F))$. By Lemma 4.8, the corresponding external rays in F of arguments t and \tilde{t} must land at a two cycle on the boundary of the main disc component of $K(F)$. This two cycle corresponds to the landing points of the internal rays of angles $\frac{1}{3}$ and $\frac{2}{3}$ in the main disc component of $K(F)$. Now observe that there are preimages of $r_e(t)$ and $r_e(\tilde{t})$ which land at the unique non-fixed preimage of α which belongs to the main basilica component of $K(\Psi(F))$. The corresponding rays must land at points on the boundary of the main disc component of F whose internal arguments are $\frac{1}{6}$ and $\frac{5}{6}$. Continuing inductively, we see that the rays landing on preimages of α

in the main basilica component of $K(\Psi(F))$ correspond to rays landing on the main disc component of F whose internal arguments are given by basilica angles. This process corresponds exactly with that of applying the basilica lamination in the main disc component of $K(F)$.

The preimage components are tackled in the same way, by considering the preimages of the main disc component. Since each non-trivial component of the filled Julia set is hybrid equivalent to the basilica, it follows that there are no more identifications. □

We now claim that the period q orbit portraits for the map $F \in \mathcal{E}_1$ and $\Psi(F) \in \mathcal{E}_2^B$ are the same, save for the well-understood case when $q = 2$. Given a parabolic parameter \mathfrak{p} , if the orbit relation $\phi \sim \psi$ is true for all maps in a neighborhood of \mathfrak{p} , then we call this relation a background orbit relation. The following result can be obtained from [4], but we give a proof using the above results here.

Lemma 4.10 *Let $q \in \mathbb{N}$ and suppose that F lies in a face of $\mathbf{Tes}_q(\overline{S_1})$. Then the period q orbit portrait for F is contained in the period q orbit portrait of $\Psi(F)$. In particular, the orbit portraits are equal if $q \neq 2$.*

Proof The construction given in Lemma 4.9 can only make the portrait larger so the orbit portrait of F must be contained in the orbit portrait of $\Psi(F)$. Indeed, the only periodic point which is the landing point of more than one ray produced by the process is α , which is the landing point of (at least) two rays of period 2. So if $q \neq 2$, the orbit portraits must be the same. □

4.2 $\widehat{\Psi}$ is well-defined

We need the following result.

Theorem 4.11 *Let θ and θ' be rational angles. If $R_e(\theta)$ and $R_e(\theta')$ land together on $\partial\mathcal{E}_1$, then they land together on $\partial\mathcal{E}_2^B$.*

The proof makes use of the results of Branner-Hubbard [4] and the (unpublished) work of the first author and Milnor [2]. Indeed, the present authors have been informed that this result can be obtained directly from [4], but we could not find the explicit statement we needed in the literature. For completeness we prove the result here, under the additional hypothesis of the *Monotonicity Conjecture* from [2] (see below).

First we prove the statement of Theorem 4.11 when θ and θ' are coperiodic. As a consequence, this will allow us to conclude that the tessellations \mathbf{Tes}_q in \mathcal{E}_1 and \mathcal{E}_2^B are essentially the same. By [2], we know that coperiodic rays in \mathcal{E}_1 and \mathcal{E}_2^B always have at least one partner ray. Indeed, in S_1 , all coperiodic rays land in pairs. In S_2 , the only exception is when there are four rays landing on the parabolic points that lie on the intersection of the boundary of the two escape regions. We will call a coperiod q parameter ray a primary ray if, of all the parameter rays landing at the parabolic map \mathfrak{p} , it is one of the two rays which are closest to the hyperbolic component $H_{\mathfrak{p}}$ which has \mathfrak{p} as its root point. All other rays landing at \mathfrak{p} will be called secondary rays. Note that all coperiodic rays in \mathcal{E}_1 and \mathcal{E}_2^B are primary rays.

Following [2] (compare [7] for the quadratic case), we define a wake $W \subset S_p$ to be a simply connected subset of S_p which

- (i) is bounded by a pair of parameter rays which are in the same escape region and which land at a common parabolic point p .
- (ii) contains a hyperbolic component of Type D which has p as a boundary point.

In [2], the authors make the following conjecture.

Conjecture (Monotonicity Conjecture) As we cross any primary ray of co-period q into the face which contains H_p , the period q orbit portrait is replaced by a strictly larger orbit portrait.

As mentioned above, we will assume this conjecture in proving Theorem 4.11.

Lemma 4.12 *Let θ and θ' be coproperiodic angles. Then $R_e(\theta)$ and $R_e(\theta')$ land together on $\partial\mathcal{E}_1$ if and only if they land together on $\partial\mathcal{E}_2^B$.*

Proof One may easily verify by inspection that the statement holds for coproperiod 2 rays. We prove that if $R_e(\theta)$ and $R_e(\theta')$ land together on $\partial\mathcal{E}_1$ then they land together on $\partial\mathcal{E}_2^B$. The proof of the converse is similar.

Suppose the rays $R_e(\theta)$ and $R_e(\theta')$ of coproperiodic angles θ and θ' of coproperiod $q \neq 2$ land at a common parabolic point p . Then these rays, along with p , form a wake W , where maps in $\mathcal{E}_1 \cap W$ have parameter angle $\theta < t < \theta'$. All maps F inside this wake have a period q orbit portrait which contains the period q orbit portrait of the parabolic map p . In particular, this holds for $F \in \mathcal{E}_1 \cap W$. By Lemma 4.10, since $q \neq 2$, we see that the map $F' \in \mathcal{E}_2^B$ has the same period q orbit portrait as F . Furthermore, since W must be a primary wake, then if we cross the parameter ray of angle θ transversally to enter W , then the period q orbit portrait will get strictly larger. The same holds if we cross into W by passing through the parameter ray of angle θ' .

To obtain a contradiction, we assume that $R_e(\theta)$ and $R_e(\theta')$ do not land together on $\partial\mathcal{E}_2^B$. Suppose instead that $R_e(\theta)$ lands with $R_e(\alpha)$ and $R_e(\theta')$ lands with $R_e(\beta)$. We split into cases depending on the relative circular ordering of θ, θ', α and β .

- $\alpha < \theta < \theta' < \beta$ or $\alpha < \theta < \beta < \theta'$. The rays $R_e(\alpha)$ and $R_e(\theta)$ bound a wake W' . But this means that if we cross $R_e(\theta)$ by increasing the angle, we will leave W' and so the orbit portrait will get strictly smaller. However, the same action in \mathcal{E}_1 sees the orbit portrait grow strictly larger. This is a contradiction, since by Lemma 4.10 the period q orbit portraits of $F \in \mathcal{E}_1$ and $\Psi(F) \in \mathcal{E}_2^B$ should be the same.
- $\theta < \alpha < \beta < \theta'$ or $\theta < \alpha < \theta' < \beta$. The rays $R_e(\theta)$ and $R_e(\alpha)$ bound a primary wake W' which has a root point p' which has the same period q orbit portrait as that of p . Thus, as we cross the ray $R_e(\alpha)$ to leave W' , the orbit portrait will get strictly smaller than that of p' , and thus it is strictly smaller than that of p . But if we consider a map $F' \in \mathcal{E}_2^B$ obtained after crossing the ray $R_e(\alpha)$, the map $\Psi^{-1} \in \mathcal{E}_1$ has parameter angle t that lies between θ and θ' , and so has an orbit portrait which contains the orbit portrait of p . This is a contradiction.
- $\theta < \theta' < \beta < \alpha$ or $\theta < \beta < \theta' < \alpha$. In this case the wake \tilde{W} bounded by $R_e(\theta')$ and $R_e(\beta)$ is a subwake of the wake W' bounded by $R_e(\theta)$ and $R_e(\alpha)$. This means

that as we cross $R_e(\theta')$ and enter \tilde{W} , we will obtain a orbit portrait strictly larger than that which we obtain if we cross $R_e(\theta)$ to enter W' . But since the two rays land together in \mathcal{S}_1 , the two orbit portraits must be the same. Hence we have a contradiction.

Thus all possibilities for when $R_e(\theta)$ and $R_e(\theta')$ don't land together on $\partial\mathcal{E}_2^B$ lead to a contradiction. Hence $R_e\theta$ and $R_e(\theta')$ must land together on $\partial\mathcal{E}_2^B$. \square

The above result implies there is a bijective correspondence between the faces of the tessellation $\mathbf{Tes}_q(\overline{\mathcal{S}_1})$ which intersect \mathcal{E}_1 and the faces of the tessellation $\mathbf{Tes}_q(\overline{\mathcal{S}_2})$ which intersect \mathcal{E}_2^B .

Corollary 4.13 *The parameter rays of angle θ and θ' belong to the same component of $\mathbf{Tes}_q^{(2)}(\overline{\mathcal{S}_1}) \cap \mathcal{E}_1$ if and only if they belong to the same component of $\mathbf{Tes}_q^{(2)}(\overline{\mathcal{S}_2}) \cap \mathcal{E}_2^B$.*

Proof Again we prove only one direction, since the converse is very similar. Suppose that $R_e(\theta)$ and $R_e(\theta')$ belong to the same component \mathcal{F}_k of $\mathbf{Tes}_q^{(2)}(\overline{\mathcal{S}_1}) \cap \mathcal{E}_1$, but $R_e(\theta)$ and $R_e(\theta')$ do not belong to the same component of $\mathbf{Tes}_q^{(2)}(\overline{\mathcal{S}_2}) \cap \mathcal{E}_2^B$. Then there exist α and β , angles of coperyod q such that the parameter rays $R_e(\alpha)$ and $R_e(\beta)$, together with their common landing point, separate the rays $R_e(\theta)$ and $R_e(\theta')$ in \mathcal{E}_2^B . But then these rays would have to separate the rays $R_e(\theta)$ and $R_e(\theta')$ in \mathcal{S}_1 , which is impossible since they both belong to the same component \mathcal{F}_k . \square

By the corollary, we see that the map Ψ induces a natural bijection between the components of $\mathbf{Tes}_q(\overline{\mathcal{S}_1}) \cap \mathcal{E}_1$ and the components of $\mathbf{Tes}_q(\overline{\mathcal{S}_2}) \cap \mathcal{E}_2^B$. We again use the notation Ψ for this induced map.

We now turn our attention to the non-coperiodic case. By [2], if θ is rational but not coperiodic, then the parameter ray lands on a critically finite non-hyperbolic parameter.

Lemma 4.14 *Suppose the rays $R_e(\theta)$ and $R_e(\theta')$ land at the same critically finite map on $\partial\mathcal{E}_1$. Then rays R_θ and $R_{\theta'}$ land together on $\partial\mathcal{E}_2^B$.*

Proof Since $R_e(\theta)$ and $R_e(\theta')$ land together on $\partial\mathcal{E}_1$ at a parameter F_1 , then for any q both these rays must belong to the same component \mathcal{F}_k as each other in $\mathbf{Tes}_q(\overline{\mathcal{S}_1}) \cap \mathcal{E}_1$. Note that since we are in \mathcal{S}_1 , there are no orbit relations coming from the quasiconformal copies of the disk in the filled Julia set of maps in \mathcal{F}_k . The dynamical rays $r_e^{F_1}(\theta)$ and $r_e^{F_1}(\theta')$ land at the cocritical point of F_1 , and this point eventually maps onto a repelling cycle of some period n . Each point on the repelling cycle must be the landing point of at least two rays, and thus this orbit appears in the period n orbit portrait for all maps in \mathcal{F}_k . In particular, by taking preimages we see that the rays $r_e(\theta)$ and $r_e(\theta')$ land together in the dynamical plane for all maps in \mathcal{F}_k .

Since the landing points of the rays $R_e(\theta)$ and $R_e(\theta')$ are in the same face \mathcal{F}_k of \mathcal{S}_1 , then Corollary 4.13 implies they must belong to the same component $\mathcal{F}'_j = \Psi(\mathcal{F}_k)$ in $\mathbf{Tes}_q(\overline{\mathcal{S}_2}) \cap \mathcal{E}_2^B$. Furthermore, the period n orbit portrait in \mathcal{F}_k is a subset of that in \mathcal{F}'_j (in fact, if $n \neq 2$, the orbit portraits are equal). With the same argument as in the previous paragraph, we see that the dynamical rays $r_e^{F'}(\theta)$ and $r_e^{F'}(\theta')$ land together in the dynamical plane for all maps in $F' \in \mathcal{F}'_j$. In particular, for F'_2 , the landing point

of $R_e(\theta)$ in $\partial\mathcal{E}_2^B$, the ray $r_e^{F'_2}(\theta)$ lands on the cocritical point together with the ray $r_e^{F'_2}(\theta')$. But since $r_e^{F'_2}(\theta)$ and $r_e^{F'_2}(\theta')$ land on the cocritical point of F'_2 , the parameter rays $R_e(\theta)$ and $R_e(\theta')$ in \mathcal{E}_2^B land on F_2 . \square

Remark Note that, unlike with the previous results, the converse of the above is not true (indeed, the exact cases of when it fails are discussed in the rest of the paper). The reason for this is that the quasiconformal copies of the basilica for maps in \mathcal{E}_2^B introduce extra orbit relations.

Proof of Theorem 4.11 The theorem follows from Lemmas 4.12 and 4.14. \square

The following is immediate.

Corollary 4.15 *The extension of Ψ given by $\widehat{\Psi}((1, t)_1) = (1, t)_2^B$ for t rational is well-defined and continuous.*

5 Main Results

We begin with our main results by extending the result of Lemma 4.9 to the boundary to obtain a similar result describing how to obtain $K(\widehat{\Psi}(F))$ topologically from $K(F)$. To begin, we show that the maps in $\partial\mathcal{E}_2^B$ which are landing points of parameter rays have a distinguished fixed point. We first deal with the case where these rays are not coperiodic of co-period two.

Lemma 5.1 *Suppose $t \in \mathbb{R}/\mathbb{Z}$ is not coperiodic of co-period two. The map $(1, t)_2^B \in \partial\mathcal{E}_2^B$ has a unique fixed point in J on the boundary of the Fatou components containing the marked critical point a , and its associated critical value v . Furthermore, the rays which land at this fixed point are exactly those which land at the fixed point α for any map $(\rho, t)_2^B \in R_e(t) \subset \mathcal{E}_2^B$.*

Proof Suppose t is not coperiodic of co-period two. The landing point $(1, t)_2^B$ is in the same component of the period two decomposition as the rest of the ray $R_e(t)$. Therefore, by Theorem 2.3, the dynamic rays which land together at α for any map along the parameter ray $R_e(t)$ still land together at a fixed point in the landing map $(1, t)_2^B$. \square

We will continue to refer to this unique fixed point as α for maps on the boundary just as we did for maps in the escape region.

The following result extends that of Lemma 4.9 to the boundary of \mathcal{E}_1 . The first part deals with landing points of parameter rays which are not coperiodic. For such maps, the free critical point $-a$ is in the Julia set, and every component of the filled Julia set is a component of the basin of attraction of the marked critical point a . The second part then deals with landing points of coperiodic parameter rays, which are parabolic maps. For these maps, $-a$ belongs to a parabolic basin, and the filled Julia set consists of the basin of attraction of a as well as the parabolic basins.

Theorem 5.2 *Given $F = (1, t)_1 \in \partial\mathcal{E}_1$.*

- (i) If $R_e(t)$ is not coperiodic, $K(\widehat{\Psi}(F)) = K((1, t)_2^B)$ is homeomorphic to the quotient of $K(F)$ obtained by making the identifications from the lamination of the basilica along the internal rays of every Fatou component of $K(F)$.
- (ii) If $R_e(t)$ is coperiodic, $K(\widehat{\Psi}(F)) = K((1, t)_2^B)$ is homeomorphic to the quotient of $K(F)$ obtained by making the identifications from the lamination of the basilica along the internal rays of the Fatou components of $K(F)$ which make up the basin of attraction \mathcal{A}_{a_F} of the marked critical point a_F for F .

Proof Let $F = (1, t)_1 \in \partial\mathcal{E}_1$.

- (i) Assume that $R_e(t)$ is not coperiodic. By Lemma 5.1 we have the fixed point $\alpha \in K(\widehat{\Psi}(F))$. Similarly to Lemma 4.9, there are one or two pairs of period two dynamic external rays landing together at α , for which the corresponding dynamic rays for F do not land together. We describe this as an identification made by $\widehat{\Psi}$, and we find other identifications by taking preimages as we did previously in Lemma 4.9. Thus we conclude that the identifications from the lamination of the basilica along the internal rays of every Fatou component of $K(F)$ exist in $K(\widehat{\Psi}(F))$. We want to show that these are the only identifications made by $\widehat{\Psi}$. Since $R_e(t)$ is not coperiodic, neither map $F, \widehat{\Psi}(F)$ is a parabolic map, see [2]. Therefore, the free critical point is in the Julia set for both of these maps. Since each attracting cycle must attract a critical point, and the free critical point is in the Julia set, the maps must each have one attracting cycle, namely that of the marked critical point. It then follows that every bounded Fatou component of F is a preimage of the main disc component, and every Fatou component of $\widehat{\Psi}(F)$ eventually maps to the two cycle of the Fatou components containing the marked critical point and its associated critical value. Therefore, the only identifications made by $\widehat{\Psi}$ are those which come from putting the lamination of the basilica in every disc component of $K(F)$.
- (ii) Now, suppose that $R_e(t)$ is coperiodic. It follows that F and $\widehat{\Psi}(F)$ are parabolic maps, see [2]. We again have the identifications arising from preimages of $\alpha \in K(\widehat{\Psi}(F))$ which are described by putting the lamination of the basilica in each component of $K(F)$. Every other Fatou component of F is then a component of the parabolic basin. In both $K(F)$ and $K(\widehat{\Psi}(F))$, we must have the rays $r_e(t - \frac{1}{3})$ and $r_e(t + \frac{1}{3})$ landing at the parabolic point in the same component of the parabolic basin as the free critical point $-a$. Since $-a$ is the only critical point which could be attracted to the parabolic orbit because of the periodicity of a , this then determines the period of the parabolic point, and we conclude that no further identifications are made, i.e. the rays which land together on the boundary of the parabolic basin in $K(F)$ are exactly those which land together on the boundary of the parabolic basin in $K(\widehat{\Psi}(F))$.

□

We now look to apply the dynamical information of Theorem 5.2 to prove our main results in parameter space. We will begin with the easier of our two cases, where we consider a map on the boundary of a type C component of \mathcal{S}_1 . The following result describes where to find pairs of distinct maps on the boundary of a type C component of \mathcal{S}_1 which will be mapped by $\widehat{\Psi}$ to the same image in \mathcal{S}_2 .

Theorem 5.3 *Suppose $F = \Lambda_i(t)$ on the boundary of a type C component of \mathcal{S}_1 .*

- (i) *If t is a basilica angle with partner \tilde{t} , let $\tilde{F} = \Lambda_i(\tilde{t})$ on the boundary of the same type C component. Then we have $\widehat{\Psi}(F) = \widehat{\Psi}(\tilde{F})$.*
- (ii) *If t is not a basilica angle, then F is the only preimage under $\widehat{\Psi}$ of $\widehat{\Psi}(F)$.*

In particular, $\widehat{\Psi}$ is not injective on the boundary of type C components precisely at the points whose internal arguments are basilica angles.

Proof Let F be on the boundary of a type C component of \mathcal{S}_1 with internal argument t . Suppose there are n external parameter rays landing at F , with arguments $\{t_1, t_2, \dots, t_n\}$ ². Then in the dynamical plane, the n external rays of arguments $\{t_1, \dots, t_n\}$ all land at the cocritical point $2a_F$. The point $2a_F$ is on the boundary of a Fatou component of F , and the argument of the internal ray in this Fatou component landing at this point is t . Now, for $G := \widehat{\Psi}(F) \in \mathcal{S}_2$, we still have the n external rays of arguments $\{t_1, \dots, t_n\}$ landing at the cocritical point $2a_G$ since Ψ preserves arguments of parameter rays, and by Corollary 4.15, $\widehat{\Psi}$ sends the landing point of the parameter ray of argument t in \mathcal{S}_1 to the landing point of the parameter ray of argument t in \mathcal{S}_2 . If t is not a basilica angle, then it follows from Theorem 5.2 that these are the only external arguments for rays landing at $2a_G$. Therefore, we conclude that these are the only arguments for parameter rays landing at G , and $\widehat{\Psi}^{-1}(G) = \{F\}$. However, if t is a basilica angle with partner \tilde{t} , then by Theorem 5.2 the arguments of the external rays for F landing on the component whose boundary contains $2a_F$ at internal argument \tilde{t} will be arguments of external rays for G which also land at $2a_G$. This means that G is the landing point of parameter rays of arguments t and \tilde{t} in \mathcal{S}_2 . We may then conclude that $\widehat{\Psi}^{-1}(\widehat{\Psi}(F)) = \{F, \tilde{F}\}$. □

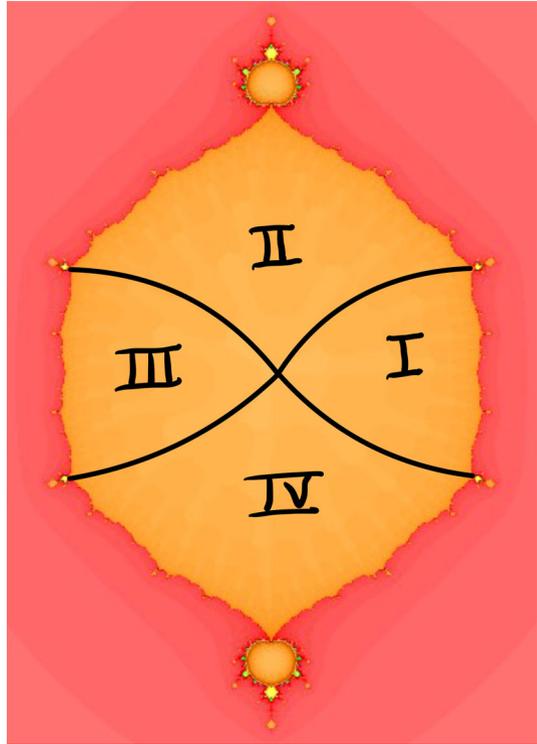
We will now focus on the principal hyperbolic component $\widehat{\mathcal{H}}_0$ in \mathcal{S}_1 , or in other words, the unique type A component. This case is more difficult due to the double cover of internal arguments in this component. It will be convenient to split this component up into four quadrants, which will be separated by the four internal rays of arguments $\frac{1}{3}$ and $\frac{2}{3}$. We will label them so that the first quadrant contains on its boundary the landing map of the external ray of argument zero, and the rest will be labeled in the counterclockwise direction as usual, see Fig. 10. We will refer to Quadrants I and III, and similarly II and IV, as opposite quadrants. We will use these quadrants to give a useful notation for internal rays in $\widehat{\mathcal{H}}_0$. By $R_i^I(t)$, we will mean the internal ray of argument t which lies in quadrant I. Note that we need to be sure that $t \in (\frac{1}{3}, \frac{2}{3})$ for $R_i^I(t)$ to exist. We will take care of the internal rays which bound the quadrants by choosing the odd numbered quadrant which it bounds, i.e. $R_i^I(\frac{1}{3})$, $R_i^I(\frac{2}{3})$, $R_i^{III}(\frac{1}{3})$, and $R_i^{III}(\frac{2}{3})$ will denote these four internal rays in $\widehat{\mathcal{H}}_0$.

Let $\widehat{\Lambda}_i(t)$ denote the two element set containing the landing maps of both of the internal rays in $\widehat{\mathcal{H}}_0$ of argument t . The following result describes which maps on $\partial\widehat{\mathcal{H}}_0$ which are not landing points of a ray of argument $\frac{1}{3}$ or $\frac{2}{3}$ will share a common image under $\widehat{\Psi}$ with another map from $\partial\widehat{\mathcal{H}}_0$.

Lemma 5.4 *Suppose $t \notin \{\frac{1}{3}, \frac{2}{3}\}$, and let $F \in \widehat{\Lambda}_i(t) \subset \partial\widehat{\mathcal{H}}_0$.*

² Conjecturally, there are at most two such parameter rays.

Fig. 10 The principal hyperbolic component of \mathcal{S}_1 split up into four quadrants



- (i) If t is a basilica angle with partner \tilde{t} , then there is one map from $\widehat{\Lambda}_i(\tilde{t})$ in the same quadrant of $\widehat{\mathcal{H}}_0$ as F , and one in the opposite quadrant. For at least one of these maps $\tilde{F} \in \widehat{\Lambda}_i(\tilde{t})$, we have $\widehat{\Psi}(\tilde{F}) = \widehat{\Psi}(F)$.
- (ii) If t is not a basilica angle. Then F is the only preimage of $\widehat{\Psi}(F)$.

Proof Suppose $t \notin \{\frac{1}{3}, \frac{2}{3}\}$ and $F \in \widehat{\Lambda}_i(t) \subset \partial\widehat{\mathcal{H}}_0$. First, let t be a basilica angle with partner \tilde{t} . Since leaves in the lamination of the basilica do not cross the minor leaf connecting $\frac{1}{3}$ and $\frac{2}{3}$, together with the fact that opposite quadrants contain rays of the same range of arguments, we conclude that there is one map from $\widehat{\Lambda}_i(\tilde{t})$ in the same quadrant of $\widehat{\mathcal{H}}_0$ as F , and one in the opposite quadrant. The case for a basilica angle follows similarly to the proof of Theorem 5.3. □

We conclude with the final results. Theorem 5.5 fully describes the action of $\widehat{\Psi}$ on $\widehat{\mathcal{H}}_0 \subset \mathcal{S}_1$. Taking Theorem 5.5 together with Lemma 5.4, we establish for the unique type A component $\widehat{\mathcal{H}}_0$ what Theorem 5.3 established for each type C component of \mathcal{S}_1 , with the exception of the four parabolic maps on this boundary which are landing points of co-period two external parameter rays. These parabolic maps are taken care of in Theorem 5.6.

Theorem 5.5 Suppose $t \notin \{\frac{1}{3}, \frac{2}{3}\}$ is a basilica angle with partner \tilde{t} , let $F \in \widehat{\Lambda}_i(t)$, and write $\widehat{\Lambda}_i(\tilde{t}) = \{\tilde{F}_s, \tilde{F}_o\}$ where \tilde{F}_s is in the same quadrant as F , and \tilde{F}_o is in the opposite quadrant. Then $\widehat{\Psi}^{-1}(\widehat{\Psi}(F)) = \{F, \tilde{F}_s\}$.

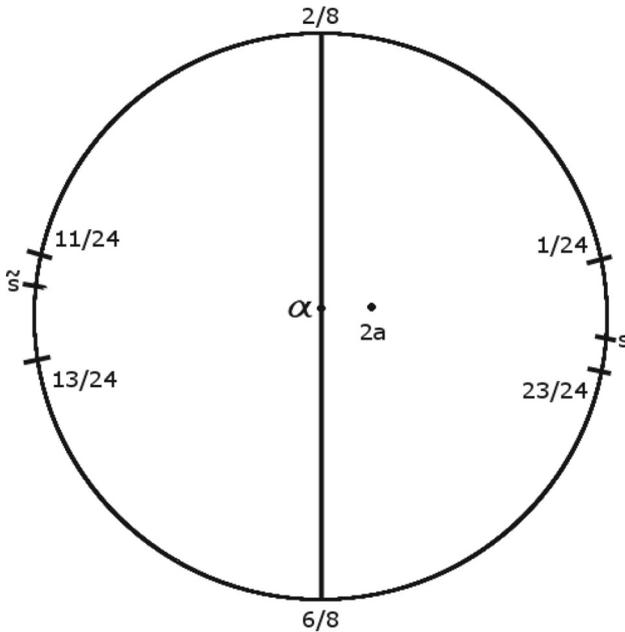


Fig. 11 An illustration of the contradiction from the proof of Theorem 5.5 when F is taken in the boundary of quadrant I of $\widehat{\mathcal{H}}_0$

Proof For a contradiction, suppose that $F \in \widehat{\Lambda}_i(t)$ where $t \notin \{\frac{1}{3}, \frac{2}{3}\}$ is a basilica angle with partner \tilde{t} , and that $\widehat{\Psi}^{-1}(\widehat{\Psi}(F)) = \{F, \tilde{F}_o\}$. Note that by Lemma 5.4, only the two cases $\widehat{\Psi}^{-1}(\widehat{\Psi}(F)) = \{F, \tilde{F}_s\}$ or $\widehat{\Psi}^{-1}(\widehat{\Psi}(F)) = \{F, \tilde{F}_o\}$ are possible. First, assume that F is on the boundary of quadrant I in \mathcal{S}_1 . It then follows that the argument s of the external parameter ray landing at F is in $(\frac{23}{24}, \frac{1}{24})$, and the argument \tilde{s} of the external parameter ray landing at \tilde{F}_o is in $(\frac{11}{24}, \frac{13}{24})$. Now, define $G := \widehat{\Psi}(F) = \widehat{\Psi}(\tilde{F}_o) \in \mathcal{S}_2$. Then we know that $\lambda_e(\frac{2}{8}) = \lambda_e(\frac{6}{8}) = \alpha_G \in K(G)$, see Fig. 6. However, we must also have $\lambda_e(s) = \lambda_e(\tilde{s}) = 2\alpha_G \in K(G)$. This is a contradiction, since the crossing of rays would violate the injectivity of the Böttcher coordinate (see Fig. 11). Therefore, we have $\widehat{\Psi}^{-1}(\widehat{\Psi}(F)) = \{F, \tilde{F}_s\}$, as desired. The remaining cases follow similarly, with $\lambda_e(\frac{5}{8}) = \lambda_e(\frac{7}{8}) = \alpha_G \in K(G)$ when F is assumed in quadrant II, and $\lambda_e(\frac{1}{8}) = \lambda_e(\frac{3}{8}) = \alpha_G \in K(G)$ when F is assumed in quadrant IV. \square

We finally need to take into account those basilica angles for which the previous theorem does not apply.

Theorem 5.6 Suppose $t \in \{\frac{1}{3}, \frac{2}{3}\}$, and let $F \in \widehat{\Lambda}_i(t) \subset \partial\widehat{\mathcal{H}}_0$, then F is a parabolic map for which $\widehat{\Psi}^{-1}(\widehat{\Psi}(F)) = \{F\}$.

Proof The four landing points of internal rays of $\widehat{\mathcal{H}}_0$ of arguments $\frac{1}{3}$ and $\frac{2}{3}$ are landing points of coperiodic external rays of co-period two. From [2], we know that such

landing points are necessarily parabolic maps. Since $\widehat{\Psi}$ sends the landing point of the parameter ray of argument t in \mathcal{S}_1 to the landing point of the parameter ray of argument t in \mathcal{S}_2 by Corollary 4.15, it follows that these four distinct parabolic maps correspond bijectively under $\widehat{\Psi}$ with the four distinct parabolic maps in \mathcal{S}_2 on the boundaries of both escape regions which are the landing points of the corresponding coproduct rays of co-period two. \square

We now have all the ingredients we need to prove our main result.

Proof of Main Theorem A The case for Type C is taken care of in Theorem 5.3 and the Type A component is dealt with in Theorems 5.5 and 5.6. \square

Acknowledgements A number of the pictures in this document were created in Dynamics Explorer [3], software created by Bryan and Suzanne Boyd. The authors wish to thank the anonymous referee for helpful comments and their expeditious review.

References

1. Bonifant, Araceli, Kiwi, Jan, Milnor, John: Cubic polynomial maps with periodic critical orbit. Part II. *Conform. Geom. Dyn.* **14**, 68–112 (2010)
2. Bonifant, Araceli, Milnor, John: Cubic polynomial maps with periodic critical orbit, Part III: Tesselations. In preparation
3. Boyd, Bryan, Boyd, Suzanne: Dynamics Explorer. Computer Software. <https://sourceforge.net/projects/detool/>
4. Branner, Bodil, Hubbard, John H.: The iteration of cubic polynomials Part II: Patterns and parapatterns. *Acta Math.* **169**, 229–325 (1992)
5. Douady, Adrien, Hubbard, John H.: The Orsay notes. Available at <https://pi.math.cornell.edu/hubbard/OrsayEnglish.pdf>
6. Estabrooks, Chad: *Identifications in Escape Regions of the Parameter Space of Cubic Polynomials*. PhD thesis, University of Rhode Island, (2018)
7. Milnor, John: Periodic orbits, external rays, and the Mandelbrot set. In *Géométrie Complexe et Systèmes Dynamiques*, pages 277–333, (2000)
8. Milnor, John: *Dynamics in One Complex Variable*. Princeton University Press, Princeton, New Jersey, United States of America (2006)
9. Milnor, John: Cubic polynomial maps with periodic critical orbit, Part I. In *Complex Dynamics Families and Friends*, pages 333–411, (2009)
10. Tan, L.: Branched coverings and cubic Newton maps. *Fund. Math.* **154**, 207–226 (1997)
11. Thurston, William P.: On the geometry and dynamics of iterated rational maps, with appendix by D. Schleicher. In *Complex Dynamics Families and Friends*, pages 3–137, (2009)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.